EXHIBIT O

	Page 1
1	UNITED STATES DISTRICT COURT
2	WESTERN DISTRICT OF NEW YORK
3	X
4	CAROL S. MARCELLIN, individually, and as
5	Co-Administrator of the Estate of Charles E.
6	Hollowell, deceased, and JESSICA HOLLOWELL-McKAY,
7	as Co-Administrator of the Estate of Charles E.
8	Hollowell, deceased,
9	Plaintiffs,
10	-against-
11	HP, INC., and STAPLES, INC.,
12	Defendants.
13	X
14	Case No: 1:21-cv-00704-JLS
15	Job No: P1-6030025
16	X
17	Virtual Deposition
18	August 2, 2023
	7:09 a.m.
19	
20	
21	EXAMINATION BEFORE TRIAL of HP, INC. by LEE
22	WARREN ATKINSON, a Defendant herein, taken by the
23	Plaintiff, held at the above-mentioned time and
24	place, before a Court Reporter and Notary Public of
25	the State of New York and New Jersey.

		Page 2
1	APPEARANCES:	
2		
3		
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5	Rochester, New York 14618	
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19 20	BY: MARIA T. MASTRIANO, ESQ.	
21		
22		
	ALSO PRESENT:	
23	71 D 77' 1	
24	Alexa Pastor - Videographer	
<u> </u>	Jessica Hollowell-McKay	
25		

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1			I N D	E X	
2 3	MITTINITICO		T7 52 7\ N/I T NT 7\	TON DV	DACE
4	WITNESS Lee Atki	ngon	EXAMINA Mr. Sch		PAGE 8
5	Lee ACKI	115011	Ms. Mas		148
6			ms. mas	CITAIIO	140
7					
8			EXHI	BITS	
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10	PLAINTIF	F'S			
11	EXHIBIT		DESCRIP	TION	PAGE
12	1	Plaintiff'S No	otice of Ru	le 30(b)(6)	8
		Deposition of	Defendant :	HP, Inc.	
13		(6 pages)			
14	2	HP Expands Re	call of Bat	teries for HP	and 8
		Compaq Noteboo	ok Computer	s Due to Fire	and
15		Burn Hazards			
		(5 pages)			
16	2	C C-11 D-++		ti D MIIOO	000
17	3	6-Cell Batter			093 8
/		Bates Stamped (12 pages)	HPU1366	HPU13//	
18		(12 pages)			
	4	Specification	s for Appro	val Version A	11 8
19	-	Bates Stamped			.22
		(38 pages)			
20		<i>2 </i>			
	5	Notebook PC U	ser Guide		8
21		Bates Stamped	HP00053 - 3	HP00181	
		(129 pages)			
22					
	6	Color Photogra	-	erfeit Batter	y 8
23		Circuit Board			
24			,		
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1		I N D E X (CONTINUED)	
2			
3		EXHIBITS	
4	PLAINTIF	F'S	
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6	7	Color Photograph - Sample Battery Pack	8
7	8	Notebook Essentials	133
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	Page 5
1	FEDERAL STIPULATIONS
2	
3	IT IS HEREBY STIPULATED AND AGREED by
4	and between the attorneys for the
5	respective parties herein:
6	
7	THAT the sealing, filing and
8	certification of the within deposition be
9	waived;
10	
11	THAT such deposition may be signed and
12	sworn to before any officer authorized to
13	administer and oath, with the same force
14	and effect as if signed and sworn to before
15	the officer before whom said deposition is
16	taken.
17	
18	IT IS FURTHER STIPULATED AND AGREED
19	that all objections, except as to form, are
20	reserved to the time of trial.
21	
22	
23	
24	
25	

Page 6 VIDEOGRAPHER: Good afternoon. 1 2. We are going on the record at 7:09 p.m. 3 on August 2nd, 2023. Please note that this deposition is 4 being conducted virtually. The quality of 5 6 recording depends on the quality of camera 7 and internet connection of participants. What is seen from the witness and heard on 8 9 screen is what will be recorded. 10 Video recording will continue to take 11 place unless all parties agree to go off 12 the record. 13 This is media unit number one of the 14 video recorded deposition of Lee Atkinson 15 taken by counsel for plaintiff in the matter of Carol S. Marcellin, individually, 16 17 and as Co-Administrator of the Estate of 18 Charles E. Hollowell, deceased, and Jessica 19 Hollowell-McKay, as Co-Administrator of the 20 Estate of Charles E. Hollowell, deceased versus HP, Inc. and Staples, Inc. Filed in 21 2.2 the United States District Court Western 23 District of New York. Case number 24 1:21-CV-00704-JLS. My name is Alexa Pastor representing 25

CONFIDENTIAL
Page 7
Veritext and I am the videographer.
The court reporter is Eva Kaflinski
from the firm, Veritext.
I am not authorized to administer an
oath. I am not related to any party in
this action. Nor am I financially
interested in the outcome.
If there is any objections to
proceeding, please state them at the time
of your appearance.
Counsel, and all present including
remotely, will now state their appearances
and affiliations for the record, beginning
with the noticing attorney.
MR. SCHWARZ: Yes. Stephen Schwarz
from Faraci Lange. And with me, I have
Matthew Belanger and Tegan Dolan, also from
Faraci Lange.
And also participating and observing is
the plaintiff, Jessica Hollowell-McKay.
MS. MASTRIANO: I am Maria Mastriano
from the law firm of Pillinger, Miller and
Tarallo. And I represent Staples in this

MS. WANEMAKER: I am Jacquelyn

action.

24

25

	Page 8
1	Wanemaker from the law firm of Smith, Sovik
2	for Defendant, HP, Inc.
3	MR. BETKE: Chris Betke from Coughlin
4	and Betke. I am HP's national coordinated
5	counsel.
6	VIDEOGRAPHER: Will the court reporter,
7	please, swear in the witness, and then
8	counsel may proceed.
9	(Documents were pre-marked as
10	Plaintiff's Exhibit 1 through
11	Plaintiff's Exhibit 7 for
12	identification, as of this
13	date)
14	LEE WARREN ATKINSON,
15	after having first been duly sworn by a Notary
16	Public of the State of New York, was examined and
17	testified as follows:
18	EXAMINATION BY
19	MR. SCHWARZ:
20	Q State your name for the record.
21	A My name is Lee Warren Atkinson.
22	Q State your address for the record.
23	A 51-17 Ling Hang North Road, Zhomgli
24	District, Jaoyuam.
25	Q Good morning, Mr. Atkinson.

	Page 9
1	My name is Steve Schwarz. I will be
2	asking you the majority of the questions today, I
3	believe. I represent the plaintiffs in this case.
4	And you are in the nation of Taiwan,
5	this morning in New York, but this evening in Taiwan,
6	correct?
7	A Yes.
8	Q You are currently an employee of HP?
9	A Yes.
10	Q Can you tell us what your position is
11	at HP at present?
12	A My title is distinguished technologist.
13	Q How long have you been employed by HP?
14	A Just over 35 years.
15	Q So, that would take us back to the late
16	1980s?
17	A Yes.
18	Q Could you review your educational
19	background for us?
20	A I am a bachelor of science in
21	electrical engineering from Texas A&M. Graduated in
22	1983.
23	Q Have you had any post graduate
24	education in the field of computers or engineering?
25	A No.

Page 10 Prior to your --1 Q 2. When did you graduate from Texas A&M? May of 1983. 3 Α Were you employed anywhere from the 4 0 time you graduated and the time you started with HP? 5 6 Α Yes. 7 Where was that? 0 8 Α Texas Instruments. 9 Were you continuously employed at Texas 0 Instruments until you started at HP? 10 11 Yes. Α 12 What area of work -- initial job at 13 Texas Instruments? 14 In other words, what was your job 15 description? What did you do? My job title was product engineer. 16 Α 17 What types of products did you work on Q at Texas Instruments? 18 19 Semiconductors. Specifically, Α 20 microprocessors. 21 Just as a definition, is there a 22 difference between integrated circuits and microprocessors or are they synonyms? 23 24 Α An integrated circuit is a broader classification of a single chip. Microprocessor is 25

	Page 11
1	just one embodiment of an integrated circuit.
2	Q Have you ever testified at a deposition
3	prior to today?
4	A Yes.
5	Q Approximately how many times?
6	A Maybe somewhere between 10 and 15
7	times.
8	Q What were the
9	What was the subject matter of the
10	cases you testified in, if you can recall them, and
11	summarize them for us?
12	A For HP, it's been either usually IP
13	defense, IP issues, or some battery cases. Some
14	insurance cases.
15	Q Okay.
16	So, IP would be intellectual property
17	that would involve either patents or some challenge
18	to patents; is that correct?
19	A Yes.
20	Q With regard to the battery cases, how
21	many battery alleged battery defect cases have you
22	testified in?
23	A Related to battery, maybe about four or
24	five maybe.
25	Q Do you recall the names of any of those

Page 12 cases? 1 2. Α Gopel Ratnum. Could you spell that? 3 Q I will try. I think it's G-O-P-E-L 4 Α R-A-T-N-U-M. 5 The second is Critser, C-R-I-T-S-E-R. 6 7 The third, I can't remember the name for the third. 8 9 Q How about the fourth? 10 Α That, I can't remember either. 11 I'm trying to even visualize when that 12 was. 13 Q The first one you mentioned, do you recall what jurisdiction that was in? 14 15 Α No, I don't know. 16 Do you recall what the allegations in 0 17 that case were? 18 Α There was a fire with a laptop. 19 Fire with a laptop? Q 20 A fire in the room. Α 21 Was it alleged that it was caused by a 22 battery failure? 23 Α Yes, I think so. 24 0 And what about the Critser case, the 25 second case?

	Page 13
1	Was that a similar fire battery failure
2	case?
3	A Yes, similar type of case.
4	Q The two that you can't remember the
5	names of, are they also battery failure cases
6	alleged?
7	A Yes.
8	Q Resulting in fires?
9	A I can't remember. In fact, I can't
10	remember for sure.
11	Q Do you recall what any of the other
12	jurisdictions of the cases were, were venued?
13	A No. No.
14	Q In the cases that you've testified, at
15	least four battery cases, were you put forward as a
16	witness for HP as a 30(b)(6) witness as we refer to
17	it in law?
18	A Yes.
19	Q So, you are familiar with your role as
20	a rule 30(b)(6) witness for HP?
21	A Yes.
22	Q I see that you have a number of patents
23	in your name that you hold; is that correct?
24	A Yes.
25	Q One of those patents is for a computing

	Page 14
1	device powered management; is that correct?
2	A I have several related to power
3	management, yes.
4	Q Can you tell us what aspects of
5	computer power management you got patents, if you can
6	generally describe that?
7	A Since the early '90s, I have many
8	patents on power management and also thermal
9	management. Many subsections of the computer, I have
10	patents on.
11	Q Is your
12	You said power management and thermal
13	management?
14	A Yes.
15	Q That would be obviously management of
16	the heat that is produced by a computer?
17	A Correct.
18	Q Do any of those patents involve the
19	battery pack of computers?
20	A I think one of them does.
21	Q Can you tell us about that particular
22	patent and how it involved the battery pack?
23	A It's a battery pack improvement and how
24	to package the battery so that the cells will be
25	cooled when it's run at a high current.

	Page 15
1	Q When did you obtain that particular
2	patent?
3	A I don't know when it was granted. I
4	think I worked on it around 2006.
5	Q Are you currently an officer or
6	director of the HP?
7	A No.
8	Q Now, I am going to share the screen at
9	this moment.
10	Can you see what is up on the screen
11	right now, and I marked as Exhibit 1?
12	A Yes.
13	Q This is
14	I will represent for the record, the
15	Plaintiff's Notice of Rule 30(b)(6) Deposition of
16	Defendant, HP, Inc. And it's a six-page document.
17	Do you have a copy of it in front of
18	you?
19	A I don't have a copy of it in front of
20	me, no.
21	Q Have you seen this document prior to
22	today?
23	A Yes.
24	Q Have you
25	If you could, I am going to scroll down

	Page 16
1	to page 5 of this document.
2	Beginning on page 5, carrying over to
3	page 6, there are six topics listed.
4	Are you familiar or have you reviewed
5	those topics?
6	A Yes.
7	Q Are you prepared, on behalf of HP, to
8	answer questions regarding each of these seven
9	topics?
10	A Yes.
11	Q Are you
12	Do you understand that pursuant to the
13	rules or any of these topics that you don't have
14	personal knowledge you were required to educate
15	yourself in order to provide answers on behalf of HP
16	about each topic?
17	A Yes.
18	Q I am going to start
19	The first area of questioning I want to
20	ask you about is actually topic two.
21	And I have that up on the screen right
22	now.
23	Do you see that?
24	It's the:
25	"Design and function of the battery

	Page 17
1	management system in the original battery
2	pack sold with the laptop including
3	connections to the motherboard."
4	Do you see that?
5	A Yes.
6	Q In this document, we also provided
7	definitions for each of the bolded items.
8	And you understand what those bolded
9	items refer to based on those definitions?
10	A Yes, I think so.
11	Q So, the laptop is the HP Pavilion.
12	That's the subject matter of this
13	litigation.
14	Do you understand that?
15	A Yes.
16	Q And do you understand what a Battery
17	Management System is, correct?
18	A Yes.
19	Q What would your definition be of a
20	Battery Management System?
21	A My definition would be the circuitry
22	that interfaces the battery cells to the notebook.
23	Q So, for particular devices, including
24	notebook computers, batteries are packaged with its
25	own circuitry that provides certain functions,

	Page 18
1	correct?
2	A Yes. For this notebook, yes.
3	Q And that entire package is referred to
4	as a battery pack?
5	A Yes.
6	Q And in that battery pack, there is
7	certain circuitry and there are also cells?
8	A Correct.
9	Q The cells are the actual batteries.
10	The circuitry is provides some
11	function with regard to the use of those batteries,
12	correct?
13	A Correct.
14	Q Now, with regard to topic two, did
15	anything from your work experience with HP provide
16	you with knowledge related to this topic?
17	A I'm acquainted with this topic, yes.
18	Q In other words, is this part of your
19	general work area job description at HP or was it at
20	some period of time?
21	A Yes.
22	Q Tell us about your role in design and
23	function of Battery Management Systems in your
24	regular work experience at HP?
25	A Because my job has focus especially on

	Page 19
1	power management and performance management for the
2	notebook, the integration of the battery is a
3	critical part.
4	Q In addition to your personal experience
5	then with this topic, and with regard particularly to
6	the make and model HP Pavilion Series laptop that's
7	involved in this case, did you do any additional
8	preparation to answer the questions related to this
9	topic?
10	A Yes.
11	Q Did you review any documents?
12	A Yes.
13	Q What documents did you review?
14	A Especially the HP specification for the
15	battery. And also, the LG specification for the
16	battery.
17	Q I have those two. We are going to look
18	at those later today.
19	Did you review any other documents
20	related to topic number two to help you prepare to
21	answer questions about this topic?
22	A I looked at the schematic for the
23	notebook.
24	Q Can you identify that document in any
25	way by either a document number or a specification

	Page 20
1	number?
2	A I didn't bring my index of the Bates
3	numbers. I think for the LG spec, I remember that
4	being 1297. But I don't remember what the Bates
5	number was for the schematic.
6	Q Okay.
7	But you believe that that's
8	MR. BETKE: Excuse me for one second.
9	I might be able to provide that.
LO	MR. SCHWARZ: Sure.
L1	MR. BETKE: I think the schematic for
L2	the notebook is 0483 to 530.
L3	MR. SCHWARZ: Thank you.
L4	COURT REPORTER: And who was saying
L5	that? It's not showing up who is talking
L6	on my screen, Alexa.
L7	MR. BETKE: That was Chris Betke.
L8	COURT REPORTER: Okay. One second.
L9	Alexa, are you there?
20	VIDEOGRAPHER: Yes.
21	(Whereupon, a discussion was held off
22	the record)
23	Q Other than reviewing documents and
24	other than talking to any of the attorneys who
25	prepared you, did you do anything else to prepare

	Page 21
1	yourself for topic number two to answer questions
2	about that topic?
3	A No.
4	Q So, did you speak to any other
5	employees of HP to gain any information that you
6	didn't have that you couldn't get from the documents?
7	A No, not for topic two for certain.
8	Q I want to show you what I marked as
9	Exhibit 2.
10	MR. SCHWARZ: And all of these
11	Counsel, all of these exhibits are in
12	the Exhibit Share folder. So, you have
13	access to them at any time in addition to
14	what is on the screen.
15	(Whereupon, a discussion was held off
16	the record)
17	Q Do you recognize this document that I
18	marked as Exhibit 2, Mr. Atkinson?
19	A I don't recognize it. I don't know if
20	I ever seen it before.
21	Q Are you aware of what the United States
22	Consumer Product Safety Commission is?
23	A I heard of it.
24	Q Are you aware of recalls that have been
25	put out by your company to recall batteries from HP

	Page 22
1	and compact notebook computers due to fire and burn
2	hazards?
3	A I am only vaguely aware of recalls.
4	MR. BETKE: Hey, Steve. What category
5	is this for the depo notice?
6	MR. SCHWARZ: This is part of the
7	background for topic two.
8	COURT REPORTER: I'm sorry.
9	Who was saying that?
LO	MR. BETKE: The topic doesn't say
L1	anything.
L2	MR. SCHWARZ: battery fires and
L3	that's part of.
L4	MR. BETKE: Hang on. Hang on. Let me
L5	get the notice in front of me.
L6	COURT REPORTER: Who is talking right
L 7	now?
L8	MR. SCHWARZ: You can object, but I
L9	don't think we can delay the deposition.
20	MR. BETKE: I want to follow along.
21	Category two, I have. There is only a
22	function of an BMS including the original
23	battery pack sold with the laptop including
24	connections with the motherboard.
25	MR. SCHWARZ: Yes, that's this is a

	Page 23
1	background issue with regard to that topic.
2	And you can object and say that you
3	don't believe it's within the topic and
4	that's your objection and then we can move
5	forward.
6	MR. BETKE: So, okay. So, Lee is here.
7	I will allow you to explore his personal
8	knowledge.
9	But it is my objection that he is not
10	here to testify as a 30 (b)(6) deponent
11	with respect to other battery packs and
12	potential recalls regarding same.
13	Why don't you ask him what he knows
14	about it, and he'll answer, but we object
15	to this being a 30 (b)(6) question.
16	MR. SCHWARZ: I understand that.
17	Thank you for your objection.
18	COURT REPORTER: This is the court
19	reporter.
20	MR. SCHWARZ: I'm sorry. The court
21	reporter is going to stop again.
22	Go ahead.
23	COURT REPORTER: Yes.
24	I don't know who was speaking in the
25	colloquy.

	Page 24
1	MR. BETKE: That was the great Chris
2	Betke.
3	COURT REPORTER: Okay.
4	I need for you to have the camera on.
5	MR. BETKE: Listen, I couldn't find the
6	button, ma'am. Okay? I couldn't find the
7	button to do it.
8	(Whereupon, a discussion was held off
9	the record)
10	Q The question I want to ask you about
11	this is that the hazard listed here is:
12	"The lithium-ion batteries used in
13	notebook computers can overheat, posing
14	fire and burn hazards."
15	And my question to you, Mr. Atkinson,
16	were you aware of this particular potential hazard
17	of lithium-ion batteries that were included in
18	laptop computers?
19	A Your question is do I am I aware
20	that a lithium-ion battery can lead to a fire or burn
21	hazard? The answer is yes.
22	Q Okay.
23	A I don't know this specific report or
24	this specific battery. And I am not involved in
25	recalls.

	Page 25
1	Q Thank you. That's all I had to ask you
2	about that on that.
3	And are you familiar with a term called
4	thermal runaway when it refers to lithium-ion
5	batteries?
6	A It's a vague term. I am not sure what
7	some of it specifically means from it.
8	Q Well, do you understand that the
9	organic electro light and lithium-ion batteries can
10	be flammable under certain circumstances?
11	MR. BETKE: Same objection on the
12	30(b)(6), but I will let Lee testify as to
13	his personal knowledge.
14	A Yes.
15	Q Are you familiar with a phenomena that
16	lithium-ion cells can enter uncontrollable
17	self-heating space?
18	A I am not aware of the specific
19	mechanisms for those, but I am, of course, aware that
20	the battery can catch on fire.
21	Q Now, I want to move on to what I marked
22	as Exhibit 3.
23	And I believe this is one of the
24	documents that you indicated you had reviewed in
25	preparation for the deposition.

	Page 26
1	MS. WANEMAKER: Can you just scroll
2	down so I can see the Bates number on that,
3	please? Thank you.
4	MR. SCHWARZ: It begins with HP 01366
5	and goes to 1377.
6	A Yes.
7	MR. SCHWARZ: And this is available to
8	the attorneys on Exhibit Share. All of
9	these exhibits are, so. You can look at
10	them any
11	MR. BETKE: Where is that?
12	MR. SCHWARZ: time.
13	MR. BETKE: Where is that?
14	MR. SCHWARZ: Exhibit Share is one of
15	the features that's offered by Veritext and
16	it was provided to you in several e-mails
17	that were sent to you.
18	MR. BETKE: It's not on the screen.
19	MR. SCHWARZ: No. It's a separate
20	You log in separately to the Veritext
21	website and you get Exhibit Share provided
22	by Veritext. And instructions were
23	provided in advance by several e-mails.
24	Q Is this, again, Mr. Atkinson, a
25	document that you reviewed prior to today?

	Page 27
1	A Yes.
2	Q Can you describe what this document is?
3	A This is the HP specification for a
4	9-cell pack that was an option for this notebook.
5	MR. BETKE: Lee, I think you said 9.
6	This is 6.
7	THE WITNESS: This is a
8	The 6-cell is obviously a typo. This
9	is a 9-cell. I am looking at the MU09093.
10	This is a 9-cell battery.
11	Q Okay.
12	A It is an extended capacity battery that
13	was an option in this family of batteries.
14	Q So, with regard to the HP Pavilion
15	laptop at issue in this case, there were several
16	different battery options that would work with the
17	system?
18	A That's correct.
19	Q And you are saying that one of them was
20	a 6-cell option and another was a 3-cell option?
21	A There were
22	Q I'm sorry
23	A There were three different options for
24	6-cell batteries. And one 9-cell battery. Not
25	counting the variations of pack manufacturer and

Page 28 cells for each. 1 2. 0 Now, with regard to --Is there a similar document that you 3 reviewed that provides a specification for the 6-cell 4 5 batteries? 6 Α Yes. 7 And what do you recall that 0 specification being numbered? 8 9 Α It was titled MU06055. 10 0 Thank you. 11 I want to just go down. 12 Section 2.4 refers to fuel gauge 13 specifications. 14 Do you see that? 15 Α Yes. Are the fuel gauge specifications for 16 0 17 the different types of battery packs for this Pavilion the same or different? 18 19 Α I am sorry. 20 Would you ask the question again, 21 please? 2.2 O I am asking you are the fuel gauge specifications for the different battery packs that 23 24 were approved for this Pavilion laptop computer, are they the same or different based upon the different 25

	Page 29
1	number of cells?
2	A They were not
3	There were multiple approved battery
4	gauges for each of these battery packs. So, these
5	are the these are the available these were the
6	approved battery controllers for the 9-cell pack.
7	The 6-cell might include others. Each specification
8	is going to be slightly different.
9	Q Let's start with what is a fuel gauge
10	for a battery pack like this?
11	What does that mean?
12	A Fuel gauge the meaning of a fuel
13	gauge is especially to measure the energy in and out
14	of the battery cell so that it would provide, just as
15	a car, a fuel gauge.
16	The other function of the battery fuel
17	gauge is to direct the charge and discharge.
18	Lastly, provide the safety features for
19	the battery pack.
20	Q So, the fuel gauge provides the ability
21	to communicate with the laptop itself to indicate how
22	much charge is left on the battery, is one thing it
23	does, correct?
24	A That's one thing, correct. Yes.
25	Q And another thing it does is provide

	Page 30
1	some of the safety features that keep the battery
2	from malfunctioning?
3	A It's yes. It provides some of the
4	safety features for the battery pack, yes.
5	Q So, as we go down here on this Exhibit
6	3, there are underneath 2.4.1, there is a number
7	of approved fuel gauges for this particular battery
8	pack. And these are basically different
9	manufacturers that have
10	Are these microprocessors or integrated
11	circuits that are here?
12	A These are integrated circuits that have
13	some microprocessors features, correct.
14	Q So, the ones that begin with BQ are of
15	the brand from Texas Instruments that provide these
16	fuel gauge functions that you've described?
17	A Correct.
18	Q And then, there is also one from Maxim
19	and from
20	And I am not sure how to pronounce
21	that.
22	Renesas?
23	A Renesas.
24	Q Renesas. Okay.
25	And all of those are for this

	Page 31
1	particular 9-cell battery pack were integrated
2	circuits that provide these functions that HP
3	requires for its battery packs for this particular
4	laptop line?
5	A Yes.
6	Q And then, under 2.5, it indicates:
7	"Temperature Sense Capability. The
8	battery pack shall have a temperature
9	sensor thermistor. The thermistor shall be
10	placed between adjacent cells for more
11	accurate sensing."
12	Is this a safety function to allow the
13	battery pack to sense the actual temperature of the
14	batteries as they are operating?
15	A This is to sense the temperature of the
16	battery cells. That's correct.
17	Q And is that function then provided for
18	in the integrated circuit that is provided with the
19	fuel gauge?
20	A The temperature sensor is connected to
21	the IC. It is connected to the fuel gauge chips.
22	Q So, the sensor is a separate device but
23	it's connected and communicates with the integrated
24	circuit?
25	A Correct.

Page 32 And it provides the information about 1 2 the temperature of the battery cells to the integrated circuit? 3 4 Α Correct. And then, 2.6 indicates that there are 5 6 safety functions. 7 It says: "The battery pack shall be built with 8 9 the following safety protection functions." 10 And the first one is: 11 "Overcharge/discharge." 12 Did I read that correctly? 13 Α Yes. 14 And what does that refer to, 0 15 overcharge/discharge? Overcharge, undercharge, over current, 16 17 the temperature, these are all monitored by the fuel 18 gauge. And so, when there -- when there are 19 boundaries that are exceeded in any of these, they 20 are detected by the fuel gauge or there is also 21 another chip that performs a backup. A backup to the 2.2 fuel gauge. But these are -- these are sensed by the fuel gauge. 23 24 0 What is the phrase "overcharge" mean? 25 Α Overcharge -- or it means an over

	Page 33
1	voltage of the cell.
2	Q And then, also listed here is current.
3	And you mentioned current.
4	So, voltage is different than current,
5	correct?
6	A Correct.
7	Q Tell me what the difference is between
8	voltage and current generally just as an electrical
9	engineer?
LO	A We give analogies to water. A current
L1	is like a flow of a river a current is a flow of a
L2	river compared to the flow of the
L3	So, a current is how much flow of
L4	energy is. Voltage is the potential of the that
L5	the flow occurs at.
L6	Q So, the integrated circuit that would
L 7	be provided with the fuel gauge itself would measure
L8	instances where there is too much current going into
L9	the battery pack?
20	A Yes. Both into or out of a battery
21	pack.
22	Q And then, there is a phrase "over
23	temperature."
24	And I assume that would mean that
25	temperature exceeds some threshold which would be

Page 34 over what it should be? 1 2. Α Yes. And is that what the thermistor is 3 0 designed to provide that information to the 4 integrated circuit? 5 6 Α Yes. 7 And then, it also is supposed to detect 0 8 reverse charge. 9 Can you explain what that means? 10 Α Reverse -- I am sorry. 11 Reverse charge would be a negative 12 So, if the pins were somehow reversed, if 13 there was a reversal of current, it would detect 14 that. Next listed item is cell imbalance. 15 Q 16 Can you explain what that means? 17 Cell imbalance is a comparison of the Α 18 different voltages that are put in series 19 configuration. The cell balances an independent 20 measurement of each individual cell. In this case, 21 the cell pair. 2.2 Q Now, you indicated that there were several different approved battery pack designs. 23 Some were 6-cell and some were 9-cell, 24 25 correct?

	Page 35
1	A Correct.
2	Q And why is it important that when
3	charging a multi-cell battery pack, that the cells
4	are in balance?
5	A It's important to avoid over voltaging
6	any one cell. Individual individual cells must be
7	measured.
8	I say individual. In the case of the
9	6-cell or 9-cell, we have basically three cells in
10	series. So, each group of series cells must be
11	monitored for voltage so they do not exceed the rated
12	voltage.
13	Q What is the risk if the cells become
14	imbalanced?
15	A If a cell is if a cell is
16	overcharged meaning, if the voltage is higher than
17	it was rated at it might have risk of damaging the
18	cell.
19	Q What about overheating?
20	A At a high enough voltage, yes. When
21	it's a high voltage, it can cause some damage to the
22	cell and I suppose that would lead to a mechanism
23	that would cause overheating.
24	Q And then, the last safety function item
25	that fuel gauge is required to possess is a short

	Page 36
1	circuit protection.
2	Can you describe what that is for me?
3	A A short circuit protection is to make
4	sure that if the battery has a fast output discharge
5	that can this is to detect the output current is
6	higher than expected.
7	Q And what is the risk of that if that
8	occurs?
9	What is the risk even to the battery
10	pack or to the laptop itself?
11	A It only indicates that there is
12	something that is out of operation and it's just a
13	I am not sure that it provides any certain or that
14	provides any risks to the battery pack.
15	Q Do you have any understanding of why
16	it's listed as a safety function if it doesn't
17	provide some safety feature?
18	A Normally, over occurrence the
19	current would be to prevent perhaps the ignition of
20	an external short circuit. Not necessarily for the
21	battery pack itself.
22	Q Thank you.
23	And if you turn to the second page of
24	this document, which is HP01367, do you see the chart
25	that's in the middle of the page there?

	Page 37
1	A The 3.2 battery connecter pinout?
2	Q Yes.
3	A Yes, I see it.
4	MR. BETKE: Hey, Steve. Just I meant
5	to say this at the beginning.
6	We are designating since we are going
7	into so much technical information about
8	the HP product, we are designating this
9	deposition as confidential under the
10	protective order.
11	MR. SCHWARZ: Yes, I understand that.
12	And these documents are marked
13	confidential. And we will abide by that.
14	And that was Chris Betke that made that
15	statement.
16	MR. BETKE: Yes. I had my video on
17	though, too.
18	MR. SCHWARZ: Okay. Thank you.
19	Q Mr. Atkinson, what does this battery
20	connecter pinout table
21	What information does that provide
22	generally?
23	A These are the signal connections from
24	the battery pack to the motherboard. The notebook
25	motherboard.

	Page 38
1	Q In other words, in order for a battery
2	pack to work in this particular laptop computer, it
3	would have to have these pins and these
4	configurations to match up with the connection on the
5	motherboard of the computer so that the two can
6	function together; is that correct?
7	A That's correct.
8	Q So, one and two and seven and eight
9	appear to be the connections actually, the
10	positive and negative connections between the
11	battery and the motherboard?
12	A Correct.
13	Q And that would be similar
14	simplistically to the plus and the minus side of a
15	battery that we are familiar with in our daily lives
16	that we might use for a smoke detector or something
17	like that?
18	A Yes.
19	Q And pin number 3 refers to something
20	called an SMD or SMBus data interface I/O pin.
21	Do you see that?
22	A Yes.
23	Q Now, can you define for us what an
24	SMBus interface is?
25	A SMBus is a communications interface

	Page 39
1	between the notebook motherboard and the battery
2	pack.
3	Q So, in other words, the motherboard and
4	the battery pack have to communicate certain
5	information. And some of the information we already
6	talked about, right? The information about how much
7	charge is left in the battery has to be communicated
8	to the motherboard so that it could be displayed to
9	the user.
10	Correct?
11	A Correct.
12	Q And other information that we already
13	talked about, too, would be communicated to the
14	motherboard through this SMBus, right?
15	A Correct.
16	Q Now, there appear to be two SMBus
17	connections on this particular diagram. One for the
18	data interface and one for the clock interface.
19	Could you describe the difference
20	between those two?
21	A Both are essential for this serial
22	interface. One is the clock distance that tells you
23	when to sample data. The SMBus is a standard
24	interface. And so, the clock is something that just
25	sequences each bit of information one at a time

Page 40

communicated through the data pin.

Q So, let me break that down and see if I understand it.

We talked about various different pieces of information that have to be communicated between the battery pack and the motherboard.

And the third pin there, the SMBus data interface, is where that data is transmitted. But since there are multiple different types of data that have to be transmitted, the second pin controls the sequence by which that data is transmitted to the motherboard?

A The data is serialized because there is only one data line. It is a one or a zero. And so, to construct any kind of communication, we structured in addresses and data. Then, so, each of those addresses and data are communicated one bit at a time. The clock is the one that -- and tells when the next single piece of data -- that each single bit is present and ready to be transferred in that process.

Q Okay. I think I understand that. And to the extent I don't, I probably won't find out -- won't be able to understand even if I ask you 12 more questions so I am going to just move on from that.

	Page 41
1	Pin number 5 is listed as ID and open.
2	Could you describe what that means?
3	A I have to look at the schematic to
4	understand what that means. If that was used at all.
5	If that was used at all in the circuit.
6	Q So, at this point, you can't answer
7	that question?
8	A Open indicates that it was not
9	connected, whether it was a whether it was a
10	but I would have to verify that by looking at the
11	schematic to be absolutely sure.
12	Q So, the term, though, "open" to you
13	just looking at Exhibit 3 would be an indication that
14	pin 5 would serve no purpose at this point unless you
15	can find something on the schematic that changes your
16	mind on that?
17	A That's correct. I can't think of
18	what we would need the pin for. That's correct.
19	Q Okay.
20	And pin number 6, it says:
21	"Connect to thermistor."
22	And we talked about the thermistor as
23	being the device that measures the temperature of
24	the battery cells, correct?
25	A Correct.

Page 42 And is that information then 1 0 2. transmitted to the motherboard through pin number 6? Α 3 Yes. There were two thermistors. One of 4 them is -- this is standard for the smart battery 5 standard. But this -- that this one follows. 6 7 this pack follows. There is one that connects to the integrated fuel gauge and another one goes to the 8 system motherboard. 9 10 So, they basically are --0 It is a redundant for this thermistor 11 12 then? 13 Α Correct. So, let me just dig down into that. 14 0 15 You mentioned something. I want to go back to page one of Exhibit 3. 16 17 And under the fuel gauge -- let me just blow that up a little bit. 18 19 You mentioned this smart battery data 20 specification revision 1.1. 21 Do you see that? 2.2 Α Yes. 23 Can you describe what that is, what 0 24 that particular document represents, and how it's used by HP? 25

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1	A The smart battery standard was made in
2	the late '90s. I somehow remember this. 1998. The
3	smart battery standard is a standard form of data and
4	communication between the battery pack and then to
5	the motherboard.
6	Q And so, if I can just generalize that.
7	Is it true that the industry, in a
8	sense, both the battery manufacturers and the device
9	manufacturers, kind of got together to try to
LO	standardize things with regard to these lithium-ion
L1	batteries for different electronic devices that they
L2	can power?
L3	A Yes, that's correct.
L4	Q And so, this smart battery data
L5	specification, is that the outcome of an effort to
L6	provide some standardization in the field of
L7	lithium-ion batteries for these types of electronic
L8	devices?
L9	A Yes, that's correct.
20	Q And that assisted both the
21	manufacturers of the devices and the manufacturers of
22	the batteries to be on the same page with regard to
23	different features that would be expected to be in
24	these battery packs?

Correct.

Α

25

	Page 44
1	Q And some of the things that we talked
2	about today already would be of these battery
3	packs are also discussed in that smart battery data
4	specification, correct?
5	A I can't remember if they speak to
6	safety speak to safety features. I can't remember
7	that it's in the smart battery pack.
8	Q Okay.
9	So, let me go back to the second page
10	of Exhibit 3 then.
11	And we were talking about the connect
12	to thermistor pin number 6.
13	And I just wanted to reiterate that
14	with regard to the fuel gauge, which was the
15	integrated circuit that was required for these
16	battery packs, there is one circuit that is connected
17	to a thermistor that goes to the integrated circuit,
18	correct?
19	A Correct.
20	Q And
21	A To the fuel gauge inside the battery
22	pack. That's correct.
23	Q And if the thermistor that's connected
24	to the fuel gauge registers a temperature out of
25	range of acceptable, is information then transmitted

	Page 45
1	to the motherboard through the pin number 3 SMD data
2	interface?
3	A It can be I'm sorry. Yes. It would
4	be accessed through yes. It can be read from the
5	host.
6	Q And the host
7	A The temperature can be read by the
8	motherboard through the SMBus data to the fuel gauge.
9	That's correct.
LO	Q And then pin number 6 is a direct
L1	connection from the thermistor from a thermistor
L2	to the motherboard?
L3	A Yes.
L4	Q And is that a different thermistor
L5	connected to the integrated circuit?
L6	A It's the second thermistor, yes.
L7	Q So, there are basically two
L8	thermistors.
L9	And you have a redundant system so that
20	if the temperature gets above a certain temperature,
21	the motherboard is directly has provided that
22	information directly and it's also provided that
23	information through the integrated circuit from the
24	fuel gauge?
25	A Yes.

	Page 46
1	Q And is that a standard configuration
2	for the battery packs for both of the 6-cell and the
3	9-cell?
4	A That's a standard for the
5	specifications, yes.
6	Q I want to turn to page 3, which is HP
7	1368. This is a chart in Exhibit 3.
8	And it talks about number 10 is
9	identified as allowable temperature range.
10	Do you see that?
11	A Yes.
12	Q And the allowable temperature range for
13	charge initial temperature and continuous charging is
14	between zero celsius and approximately 45 degrees
15	celsius, correct?
16	A Yes.
17	Q So, what it seems to be saying here is
18	that the temperature of the battery cells should not
19	exceed 45 degrees celsius during either of those
20	charging conditions?
21	A Correct.
22	Q I am now going to go to page HP 01371
23	of Exhibit 3.
24	And particularly item number nine on
25	that list. It's entitled, "Over-temp protection for

Page 47 1 charge." 2 Can you identify or describe what that 3 means? Number nine, it says when over 46 4 Α Yes. degrees for more than two seconds, it turns off the 5 6 C-FET. C-FET means charge set. So, current into the 7 battery pack is interrupted. So, a FET is a field-effect transistor, 8 Q 9 correct? 10 Α Correct. 11 And so, that is sort of an on/off 12 switch that's available to cut the current to the 13 battery in the event that the battery gets above 46 degrees celsius? 14 15 Α That's correct. 16 Now, we talked about there being two 17 different thermistors that are in the battery pack that are available to communicate information to the 18 19 motherboard about the temperature of the cells. 20 Does this refer to both of those or 21 just one of those? 2.2 Α This refers to -- this control of the charge -- solely by the fuel gauge I see. It's not 23 24 directly by the motherboard. So, this is -- this is controlled by the fuel gauge chip. 25

	Page 48
1	Q So, the fuel gauge chip then is
2	programmed so that if the thermistor transmits
3	information that is 46 degrees or higher, it will
4	turn off the power charge in the battery
5	automatically?
6	A Yes. The battery pack will turn itself
7	off. The fuel gauge chip will do this automatically.
8	Q Now, I am going to ask you a different
9	hypothetical.
10	What if the pin to the motherboard that
11	gets information on the thermistor receives
12	information that the temperature is above 46 degrees
13	celsius, is the motherboard programmed in some way to
14	also shut off power to the battery pack?
15	A I don't know.
16	Q You don't know?
17	A I only I can only be sure that it
18	will that the imbedded controller the imbedded
19	controller is on the system motherboard and that
20	would detect. Before it starts to before it
21	starts to charge, it will read that then, but I don't
22	know if it will continue to monitor through that pin.
23	Q So, let me see if I can break that
24	down.
25	It's your understanding that the pin

	Page 49
1	that provides a direct connection between the
2	thermistor and the motherboard provides information
3	on the initial temperature of the battery pack when
4	charging begins?
5	A Yes.
6	Q And let me just ask you in this
7	hypothetical.
8	What if the temperature at the initial
9	charging state is above 46 degrees celsius?
LO	What, if anything, can the motherboard
L1	do under those circumstances?
L2	A The motherboard will not initiate
L3	charge.
L4	Q So, how does the motherboard accomplish
L5	that process of not initiating the charge?
L6	A I can't remember. I can't remember if
L 7	it looks at the SMBus data or if it does it I'm
L8	sorry independently.
L9	Q My question again, and this is all
20	within topic, too.
21	My question is does the motherboard
22	have some control over the current coming into the
23	battery pack directly?
24	A Yes.
25	Q And how is that accomplished?

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1	A The motherboard the integrated
2	imbedded controller on the motherboard side will
3	relay information from the battery pack through the
4	charger. So, the imbedded controller is relaying the
5	request through the charger from the battery pack to
6	the charging IC.
7	And in this case, the fuel gauge will
8	not request
9	If a fuel gauge detects that it's over
10	46 degrees, the fuel gauge, itself, will not request
11	that. It will not request the charge.
12	Q I think we are talking past each other
13	or maybe I am just not understanding.
14	I understand that the fuel gauge has
15	the capacity to turn off the C-FET C dash F-E-T
16	which will stop the power coming into the battery
17	pack if the temperature is exceeded in the thermistor
18	that is connected to the fuel gauge, correct?
19	A Correct.
20	Q If the temperature is exceeded in the
21	thermistor connected to the motherboard, I am trying
22	to understand does the motherboard then have to shut
23	the C-FET off through the fuel gauge or is there some
24	independent way to shut the C-FET off?

The motherboard has independent --

25

Α

	Page 51
1	The motherboard has some control of the
2	charging IC that would provide current to the battery
3	pack.
4	Q Where is the charging IC housed itself?
5	A It's on the motherboard.
6	Q So, the motherboard has the capacity to
7	shut down charging under certain circumstances, one
8	of which is if this thermistor connected to the
9	motherboard shows a temperature above 46 degrees?
10	A Correct.
11	Q And what you are not sure of is if the
12	initial charging condition is below 46 degrees but
13	then the thermistor registers information showing
14	that it's above 46 degrees during the charge, you are
15	not sure whether the motherboard continues to have
16	that ability to shut off the FET, to shut off the
17	charge?
18	A I am not sure specifically on this
19	system.
20	Q And when you say on this system, are
21	you talking about the nine volt system? The 9-cell
22	system?
23	Or the system for this particular
24	laptop?
25	A This specific laptop.

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I haven't reviewed -- I haven't reviewed that protocol on this system.

Q And describe for me if you were to look

2.2

for the answer to that question, what specific documents are you referring to you will need to look at in order to answer that question if we come back again?

A I would -- I would -- I would probably have to review the --

I have to go think about that. I am not sure. I am not sure if I have an answer of where I would review that. I'd have to look at the protocol itself.

Q Okay. Help me understand.

When you refer to a protocol -- I know that must be a term of art that you are referring to -- can you just describe for the record what you are referring to when you are saying to look at the protocol?

A I am sorry. I was thinking I would -I would have to look at our system -- I
am sorry.

Your question is if the SMBus thermistor was detecting lower than 45 degrees, would the thermistor through the -- would the other

	Page 53
1	thermistor through the imbedded controller
2	independently turn off the charge?
3	Could I look at the
4	Could I look again at the schematic for
5	oh, I'm sorry.
6	I'd be certain that the accuracy of the
7	thermistor of the imbedded controller would probably
8	not be so accurate as the thermistor that goes
9	directly to the fuel gauge.
10	So, it's possible that within a few
11	degrees of margin that the imbedded controller is not
12	going to detect exactly a 45 or 46 degree
13	temperature. There might be a disagreement.
14	So I am sorry. I haven't reviewed
15	to see that the imbedded controller, itself, would be
16	monitoring that charge or measuring that temperature.
17	I am not sure about that.
18	Q The system
19	Actually, the speculation requires that
20	there be two thermistors.
21	One for the that educates the fuel
22	gauge the temperature and the other educates the
23	motherboard, right?
24	A Yes.
25	Q And this is an important safety feature

	Page 54
1	because there could potentially be a failure of one
2	of those thermistors and that way there is a backup
3	that would provide information in the event that one
4	of them failed, right?
5	A It's possible. I am not sure how the
6	thermistor would fail. If it would I am not sure
7	you know, I can't say
8	Q Well, what is your understanding of why
9	there is this redundant thermistor system if not to
10	provide a backup in case of one failing?
11	A The original thermistor was just an ID
12	pin. The one that comes on its own separate panel
13	was an ID pin to know that the pack was actually
14	inserted in the system from the smart battery
15	standard.
16	Q I am not understanding that. I
17	apologize.
18	So, if you can explain it better?
19	The smart battery standard requires
20	this, is what you are saying?
21	A The smart battery pack requires that
22	thermistor can double they had two functions.
23	One was especially as an ID pin. In an
24	imbedded controller, I think we would look at this
25	pin to also detect that was over a high temp. As far

	Page 55
1	as the accuracy at 45 degrees or not, I am not sure
2	the imbedded controller would be that I am not
3	sure it would be that accurate.
4	So, I don't know the accuracy for the
5	imbedded controller. The 45 degrees was a later
6	requirement from something called a PSE. That we
7	deliver that through the thermistor that's connected
8	to the fuel gauge.
9	Q So, if I understand correctly, the real
10	safety device then with regard to overheating is the
11	thermistor that's connected to the fuel gauge?
12	A That's the principal that yes.
13	Q So, if there is a condition of
14	overheating and potential thermal runaway, it is the
15	thermistor connected to the fuel gauge that's going
16	to inform the integrated circuit fuel gauge FET to
17	shut off the battery?
18	A Yes. I am sorry I don't I am not
19	perfectly clear on exactly the role of the imbedded
20	controller. I apologize, but I don't know that.
21	Q Again, nobody can know everything, but
22	I might get another opportunity to ask you that
23	question if you get some time to educate yourself on
24	that.

I want to now go to a different

25

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	Page 56
1	document and what I've marked as Exhibit 4.
2	MS. WANEMAKER: Do you mind if we can
3	take about a two-minute break so I can use
4	the restroom before you switch gears
5	THE WITNESS: I was just going to ask
6	the same thing, to be honest.
7	MR. SCHWARZ: Absolutely.
8	THE WITNESS: Maybe grab another cup of
9	coffee.
LO	MS. WANEMAKER: For sure. Thank you.
L1	VIDEOGRAPHER: Just a moment, please.
L2	The time is 8:18 p.m., and we are going
L3	off the record.
L4	(Whereupon, a short break was taken)
L5	VIDEOGRAPHER: The time is 8:27 p.m.,
L6	and we are back on the record.
L 7	Q Mr. Atkinson, I would like to now have
L8	you look at a new document. Another one that I
L9	believe that you indicated in your prior testimony
20	that you have reviewed in preparation for today.
21	This we marked as Exhibit 4.
22	It is entitled, "Specifications for
23	Approval Version A11" from LG Chem.

Is this the document that you were

It begins with HP01297.

24

25

	Page 57
1	referring to that you reviewed in preparation for
2	today?
3	A Yes.
4	Q Generally, is it an accurate statement
5	to say that this is a specification that was
6	submitted to HP by LG for approval to use this
7	particular battery pack design with your Pavilion
8	laptop computers?
9	A Yes. This is their specification in
10	answer to our requirements, yes.
11	Q And with regard to your requirements,
12	this particular specification, as we will see, is for
13	a 6-cell battery pack.
14	And I believe you said that the
15	specification we looked at Exhibit 3 was a
16	specification for a 9-cell. You said there is an
17	6-cell specification that would have been provided to
18	LG that LG then responded with this proposal for HP
19	to approve for use of these particular battery packs,
20	correct?
21	A Yes.
22	Q Okay.
23	And we will find that at some point.
24	That other specification that we haven't looked at
25	yet.

	Page 58
1	Now, this particular document, I want
2	to scroll down Exhibit 4 to page 1301. And I am on
3	that now.
4	Can you see that page?
5	Do you want me to make it a little
6	bigger?
7	A Thank you. I can see that.
8	Q Okay. Great.
9	So, under scope, it indicates:
10	"This specification describes the
11	requirements for the battery pack,
12	HSTNN-LBOX supplied by LG Chem. included
13	rechargeable lithium ion battery and
14	Battery Management System. The
15	Battery Management System consists mainly
16	of protection unit and fuel gauging unit."
17	Did I read that correctly?
18	A Yes.
19	Q Now, is the phrase "protection unit" a
20	phrase that's used in the trade with regard to these
21	particular Battery Management Systems?
22	A I am not I haven't seen that. I
23	don't think that's used as a formal name. I think
24	it's just a description.
25	Q Okay.

	Page 59
1	Well, with regard to the description it
2	provides though, we talked about the specification of
3	HP of the overcharge/undercharge protection, the
4	short circuit protection, the overheating protection,
5	and the over voltage protection.
6	Would those be the types of safety
7	devices, then, that would be described as the
8	protection unit for the battery pack?
9	A Yes, I think so.
10	Q And the gauging unit would be
11	referred it would be the part of the battery pack
12	or the information about the available charge on the
13	battery that is communicated to the motherboard,
14	right?
15	A Yes.
16	Q Okay. Good.
17	And if we skip down here to under the
18	cell type and configuration under 1.2.2 it says:
19	"The battery pack consists of 6 cells
20	combines with fuel gauging control circuit
21	and protection control circuit."
22	So, that is in the description of what
23	the battery pack generally provides, correct?
24	A Correct.
25	Q And I believe you told us that and

	Page 60
1	it says under cell configuration, that the 6 cells
2	are connected in three units that are connected in
3	series.
4	So, there is two cells, two cells, two
5	cells that are connected in series, right?
6	A Correct. There is three pairs of
7	batteries, yes.
8	Q Much better phraseology than I used.
9	Thank you.
LO	I want to turn then to page HP01305 of
L1	Exhibit 4.
L2	MR. BETKE: By the way, Lee,
L3	Mr. Schwarz is highlighting particular
L4	things. If you ever need to look at the
L5	entire document, he is not preventing you
L6	from doing that. He is just putting it up
L7	for your ease of reference.
L8	Q If at any time, you want to look at the
L9	page that precedes it to get context, just ask me.
20	I didn't ask you this, but do you have
21	a copy of this with you today in front of you?
22	A I do.
23	Q Okay. So, you can feel free to also
24	refer to that in case you want to look at any other
25	section of the document since this is a document that

Page 61 we both have. 1 2. So, I want to have a look now at this battery pack operation. 3 And the first section is entitled, "3.1 4 First Level Protection." 5 Do you know what that refers to, first 6 7 level protection? Α 8 Yes. 9 0 Can you describe that for us? First level means a recoverable 10 Α 11 protection. 12 So, recoverable protection means -- if 13 I understand it correctly from the context of this document -- that under certain circumstances the 14 15 battery pack will shut itself off but can be turned 16 back on once whatever the condition caused it to shut 17 off is cured; is that correct? 18 Α That's correct. And second level of protection would be 19 a sort of a fail safe type of protection where it 20 21 shuts the battery pack down and it can't be restored. 2.2 It would just have to be restored if that were to 23 occur. 24 Α That's correct. It's goes to permanent failure. 25

	Page 62
1	Q Thank you.
2	So, we are talking about
3	The first of those two options, the
4	first level protection, which means that the battery
5	pack would be shut down but could be restored and
6	then later once whatever the condition clears that it
7	caused that shutdown, correct?
8	A Okay.
9	Q So, the first sentence of this, the
10	first section involves cell over voltage and cell
11	under voltage.
12	And I think you described what voltage
13	is. It's the potential
14	So, there is an operating range of
15	voltage that is required for these battery packs that
16	you don't want to exceed that range either below or
17	above, right?
18	A Yes.
19	Q Now, it indicates that
20	It describes a particular integrated
21	circuit or microprocessor that is made by Texas
22	Instruments as the it says BQ20Z70/BQ20Z75.
23	Are those two separate integrated
24	circuits made by Texas Instruments?
25	A I think this refers to the pair of

	Page 63
1	There is a pair of devices. I think it
2	refers to showing a schematic.
3	Q So, this particular then, this LG
4	version of the battery pack that was eventually
5	I should ask this question before I go.
6	Was this LG version of the battery pack
7	actually approved by HP for use in the Pavilion
8	Series?
9	A Yes.
10	Q So, the document we have, it doesn't
11	indicate that, but at some later point HP did approve
12	this particular design for a battery pack for the HP
13	Pavilion Series, correct?
14	A Yes.
15	Q Okay.
16	And I believe what you are saying is
17	that this particular battery pack had two different
18	Texas Instrument integrated circuits as part of its
19	Battery Management System?
20	A Correct.
21	Q And do you have an understanding of
22	which one provided which functions or features?
23	A I think they work as a pair.
24	Q So, they actually
25	They are actually an integrated unit

		Page 64
1	then?	
2	A	They work in combination with each
3	other.	
4	Q	Do you know how
5		Can you describe that?
6		In other words, how they work in
7	combination	with each other, if you know?
8	A	Yes. On the schematic page, Bates
9	1316.	
10	Q	Okay.
11		And that is on this document itself?
12	А	Yes.
13	Q	So, let's just go back to that.
14		So, that's the schematic page.
15		And what is this a schematic of?
16		Is it the Battery Management System
17	itself?	
18	А	This is the whole pack. This is a
19	schematic of	the entire pack.
20	Q	And this features, then, both of those
21	integrated c	ircuits from HP?
22	А	The integrated circuits are from Texas
23	Instruments.	
24	Q	I am sorry. I misspoke. Thank you for
25	correcting m	e. From Texas Instruments.

Page 65 And each of these --1 2 So, I see something that refers to as U2 and U3. 3 4 Do those represent those two integrated circuits? 5 6 Α Yes. 7 They don't appear to have the same 0 numbers though. 8 9 Is there any reasoning for that? 10 Α I don't remember the naming, no. I 11 don't understand the difference in naming. 12 Q Okay. 13 And as we look at this schematic, then, 14 there appear to be on the left-hand integrated 15 circuit, there appears to be 16 connections; is that 16 correct? 17 I didn't count. But there are a number 18 of connections, yes. Are you familiar with what each of the 19 20 connections on each of these integrated circuits is 21 supposed to do with regard to function? 2.2 Α Not all of them. I would have to look at the data sheets to know all of these. But from a 23 24 high level, yes. 25 Q Okay.

	Page 66
1	Let's go back, then, to the page that I
2	was looking at then.
3	I appreciate your directing me to that
4	schematic.
5	Going back to page HP01305.
6	There we go.
7	This Section 3.1.1 is describing how
8	the battery pack that was designed and provided by LG
9	performs this cell over voltage and cell under
LO	voltage function, correct?
L1	A Yes. This describes the perimeters of
L2	the events occur.
L3	Q So, with regard to this particular
L4	possible condition, it provides the details of the
L5	various voltage differences that would initially have
L6	it shut the FET or the connection that allows the
L 7	charging to occur off if the perimeter were exceeded,
L8	correct?
L9	A Correct.
20	Q And then, it also provides the if
21	the cell recovers to get back within or the battery
22	pack recovers to get back within the operating
23	voltage perimeters, then it's an indication that the
24	FET would be turned back on and charging can resume?
25	A Correct.

	Page 67
1	Q And similarly, 3.1.2 describes the
2	perimeters for the charge and discharge over current,
3	which again we described as being different than
4	voltage, correct?
5	A Yes.
6	Q And so, if the current is exceeded
7	If the operating range current is
8	exceeded, the same thing happens. The charger gets
9	turned off. And then if or if it is too low, the
10	charger gets turned off and then if it recovers to
11	the operating range it's allowed to go back on?
12	A That's correct. I think you used the
13	word "charger," but these control FETs are turned
14	off, yes.
15	Q Okay.
16	But the control FET is what allows the
17	AC power that's converted to DC power that actually
18	gets to the batteries, right?
19	A I am sorry.
20	Would you please ask that question
21	again?
22	Q Yeah. I probably misstated it.
23	Let me rephrase it.
24	When we talk about charging these
25	battery packs, we are talking about plugging a

	Page 68
1	charging system into an AC source, AC power source,
2	and then connecting it to the device, the laptop,
3	that eventually connects to the battery pack, right?
4	A Yes.
5	Q When we talk about the FET shutting
6	off, it would shut off when it shuts off, it shuts
7	off the connection between the power coming through
8	that power cord charger to the device?
9	A It shuts off the power inside the
10	battery to the cell. It disconnects inside the
11	battery pack itself. That current into the cell.
12	Q So, once that FET is off, there is no
13	more power running into the battery pack?
14	A That's correct.
15	Q Is there still power to the motherboard
16	that's provided by the charger even though the power
17	is not going through the battery pack?
18	A Yes. But we call that the AC brick,
19	yes.
20	Q Describe for me what that means?
21	A AC brick is the ACDC conversion. There
22	is another chip on the motherboard called the charger
23	chip, the IC charger chip. And then, the output of
24	that charger chip goes into the battery terminals.
25	Q What is the function of the IC charger

	Page 69
1	chip in the motherboard?
2	A It converts the 19 volts outputs from
3	the AC brick into a voltage that is requested by the
4	battery pack.
5	Q And I may be using the wrong
6	terminology, but if the battery pack is disabled, in
7	other words, if the is taken out or if the FET is
8	turned off, is there still power available to the
9	motherboard of the computer to operate without the
10	battery pack?
11	A Yes.
12	Q So, if the battery pack fails for some
13	reason and the FET shuts off, does the power to the
14	motherboard and the function of the computer, is that
15	affected at all?
16	A That continues.
17	Q It continues?
18	A Yes.
19	Q So, for the Pavilion Series laptops
20	then, if you were to remove the battery pack and just
21	plug in the AC power source, would there be any
22	change in the function of the computer?
23	A No. It will work fully without a
24	battery pack installed.
25	Q Okay.

Page 70 Thank you. 1 2 I want to turn to page 1306 of Exhibit This is, again, the LG specification that was 3 approved by HP for these battery packs for the 4 Pavilion computer series. 5 And there is a description on 3.1.3 6 7 short circuit protection, which is another safety device that will shut off the FET in the event of 8 9 this condition appearing in the battery pack, 10 correct? 11 Correct. Α 12 And all of these, the ones that we are 13 talking about so far, are designed to as a protection 14 system to protect the battery pack and for safety, 15 correct? Well, I think for short circuit 16 17 protection it is a protection for external. I don't 18 believe that that's a protection for the battery pack 19 That's a protection for whatever might be 20 shorting that output. 21 So, it can be protection for some other 2.2 components in the computer that could be damaged by the short circuit? 23 24 Α That may be the short circuit. 25 Q And then 3.1.4, that begins on page

	Page 71
1	1306, is for the over temperature protection; is that
2	correct?
3	A Yes.
4	Q And this specifically calls out a one
5	Texas Instruments integrated circuit BQ20Z70 as part
6	of this Battery Management System that is responsible
7	for the over temperature protection, correct?
8	A Correct.
9	Q And then, it says that:
10	"This particular integrated circuit
11	sets the over temperature charging safety
12	status flag."
13	Did I read that correctly?
14	A Yes.
15	Q What is a safety status flag?
16	What does that mean?
17	A That would be a digital value that the
18	motherboard can read.
19	Q And can you describe that any further
20	when you say a digital value?
21	Are you talking about a digital value
22	with regard to the temperature or a digital value
23	with regard to some signal that is sent by the
24	integrated circuit to the motherboard, or both?
25	A Let me read it. At least this is an

	Page 72
1	internal register that the integrated the fuel
2	gauge IC, itself, would set when it was over
3	temperature.
4	Q So, with regard to the safety status
5	flag, what I am trying to get at, what information is
6	conveyed to the motherboard if the safety status flag
7	is activated?
8	A I am not sure. It might be that the
9	charge current, itself, could also be shut down.
10	Q But that shutdown, we are talking about
11	occurs, with regard within the Battery Management
12	System itself, right?
13	A Here it describes it
14	It describes a signal that turns off
15	the charge I'm sorry. It turns off the charge
16	FET.
17	Q Right.
18	So, does the motherboard then have the
19	opportunity by receiving the safety status flag to
20	take some other action beyond what's being what's
21	happening in the Battery Management System?
22	A I am not sure. I don't know.
23	Q Now, it says here in Exhibit 4 that if
24	the pack temperature reaches or surpasses the over
25	temp charge limit during charging for a two second

CONFIDENTIAL Page 73 time period, is when the safety status flag occurs? 1 2. Α Yes. Then it says if the OT FET, which is 3 Q the controller that we are talking about, sort of the 4 on/off switch for the charger, is set and the over 5 6 temperature protection portion of this integrated 7 circuit registers that over temperature condition, the charging is disabled and the charge FET is turned 8 9 off? 10 Α That's correct. 11 And then, it talks about what it does 0 12 with regard to setting the voltage if that happens, 13 right? 14 Α Correct. 15 0 If you go to the next page, there is a 16 chart. 17 And this actually provides the over 18 temperature values that are programmed in so that if that condition occurs --19 20 Withdraw that question. 21 This chart provides the actual 2.2 temperatures at which this over temperature condition

is realized?

A Yes.

Q And similar to what we saw in the

23

24

25

	Page 74
1	specification for the 9-cell battery pack that HP had
2	sent, this indicates if the temperature exceeds 46
3	degrees centigrade for two seconds, then this over
4	temperature condition is realized and the FET is
5	turned off and the charging stops?
6	A Correct.
7	Q And is it your understanding that this
8	46 degree temperature is registered on the thermistor
9	that's connected to this particular integrated
LO	circuit?
L1	A Yes.
L2	Q And does this provide you with any
L3	information about the other thermistor that we talked
L4	about?
L5	The redundant thermistor that's
L6	connected directly to the motherboard?
L7	A No, it doesn't.
L8	Q So, this doesn't help us in
L9	understanding whether that thermistor has any impact
20	on the motherboard's ability to shut off the charger?
21	A That's correct.
22	Q Now, we talked about first level of
23	protection.
24	And again, just to review, the first
5	level of protection is when something happens that

	Page 75
1	the battery pack can be shut off but it can resume
2	functioning later if that condition is relieved,
3	right?
4	A Yes.
5	Q But there is a second level of
6	protection that's provided that will completely
7	disable the battery pack and not allow it to function
8	again and require a replacement, right?
9	A Correct.
10	Q And under the second level of
11	protection, there is a reference there is a
12	reference actually to the cell imbalance fault.
13	Do you see that?
14	A Yes.
15	Q We talked about the importance of cell
16	balance previously that under the way these Battery
17	Management Systems are designed, you want the cells
18	to charge at roughly the same rate and not have one
19	be much more charged than another one, correct?
20	A Correct.
21	Q And one of the features of these
22	integrated circuits that are part of this process, in
23	particular the BQ20Z70 circuit, is to monitor the
24	balancing of the charging of the cells itself?
25	A Yes.

	Page 76
1	Q And if according to this second level
2	of protection there if a certain level of imbalance is
3	detected and that I think is technically written
4	here somewhere then the battery pack has the
5	ability to actually blow a fuse that will disable the
6	battery pack and stop any additional current from
7	coming in from the AC source, correct?
8	A Correct.
9	Q Now, in this HP Pavilion Series laptop
10	system, did the motherboard have any similar ability
11	to monitor the cell charging balance and shut off the
12	power in the event that a cell imbalance fault is
13	detected?
14	A No, it did not implement that.
15	Q So, the motherboard had
16	The motherboard or excuse me the
17	device relied entirely on the Battery Management
18	System to monitor cell balance in charging?
19	A That's correct.
20	Q Now, there is something that's referred
21	to here as a second level of protection IC input.
22	Do you see that?
23	A Yes.
24	Q It says:
25	"The PFIN input of the BQ20Z70 can be

	Page 77
1	used to determine the state of an external
2	protection device such as the BQ294XX."
3	Did I read that correctly?
4	A I see that.
5	Q Do you understand what that refers to?
6	A I can look at the schematic and see if
7	it's connected, but I think I understand what it
8	means.
9	Q So, what is an external protection
10	device?
11	What does that refer to?
12	A It's a redundant device that's
13	measured that is independently measuring each of
14	the cell voltages.
15	Q Where is that particular device
16	located?
17	Is that in the Battery Management
18	System or is that a device that's in the computer
19	itself?
20	A It's in the battery pack.
21	Q So, according to this, is it your
22	understanding that the
23	MR. SCHWARZ: I don't know where that
24	feedback is coming from.
25	Is everybody on mute other than the

	Page 78
1	witness? I ask that you be because we are
2	getting a lot of feedback.
3	Q With regard to
4	MR. BETKE: I was on mute.
5	MR. SCHWARZ: Yeah, I saw that Chris.
6	Thank you. I was asking about everybody
7	else.
8	This is better, I am not getting
9	feedback. Thank you.
10	Q The second level of protection, is that
11	something that you believe was part of the Battery
12	Management Systems for the approved battery packs for
13	the HP Pavilions?
14	A I can look at the schematic and see if
15	I can find it here.
16	Q The schematic for the LG version?
17	A Yes.
18	It looks like that pin is connected on
19	the LG schematic.
20	Q When they refer to an external device
21	in this particular section, do you know what that
22	refers to?
23	A Yes.
24	Q Can you explain that?
25	A If you look at the schematic, it's

Page 79 1 Device E1. 2. O Why is it referred to as an external device? 3 What does that refer to? 4 Α I mean, it's not within the Texas 5 6 Instruments chip set. It's a third integrated 7 circuit on the battery pack. What is the purpose of that third 8 Q 9 integrated circuit, to your understanding? 10 Α That's a redundant protection device 11 used for second level protection. 12 So, when LG Chem designed this battery 13 pack, it provided redundant protection for all of these safety features, overcharge, overheating, over 14 15 current; is that correct? Redundant protection -- the second 16 17 device is redundant protection for voltage. For voltage only? 18 Q 19 Α Yes. 20 And that would apply to the cell 0 21 imbalance voltage? 2.2 Α That's for any voltage. It's not necessarily imbalance, but that was basically a 23 24 maximum voltage for any cell pair. There are other redundant pieces especially in the cells and also for 25

	Page 80
1	the fuse itself for over current. So, there are a
2	redundant features for current protection. But this
3	E1 device is to it's a redundant device for cell
4	over voltage.
5	Q And can cell over voltage lead to
6	overheating of a cell?
7	A It can do damage to the cell, yes.
8	Q And potentially thermal runaway if not
9	shut down?
10	A Yes.
11	MR. BETKE: I am sorry. What was his
12	answer?
13	MR. SCHWARZ: Yes.
14	MR. BETKE: I thought he said I guess.
15	That's what it sounded to me.
16	Q In the event that this second
17	protection system operates or detects one of these
18	conditions, and the outcome of that is the blowing of
19	a fuse that disables the battery pack permanently?
20	A Correct.
21	Q I want to stop sharing for a moment.
22	I would like to move on to Exhibit 1,
23	topic number 3. I will put that on so you can see
24	it.
25	Topic number three reads:

	Page 81
1	"Any designed remote or external
2	balancing system designed into the laptop
3	such that the motherboard can monitor cell
4	balancing and/or overcharging of any
5	battery cell."
6	Do you see that?
7	A Yes.
8	Q Is that a topic that you are prepared
9	to testify about today?
10	A Yes.
11	Q Can you tell us what you did to educate
12	yourself about that particular topic in order to
13	answer questions on behalf of HP today?
14	A I looked at the MU06055 battery spec.
15	The HP battery specification.
16	Q Did you look at anything with regard to
17	the functionality of the motherboard or other parts
18	of the actual device itself outside of the Battery
19	Management System?
20	A I did not.
21	Q So, with regard to this topic, then,
22	what we are trying to find out is, is there some
23	ability of the motherboard to control the input of
24	energy into the battery pack under certain
25	circumstances?

Page 82

1	A Under certain circumstances, you mean,
2	specifically with regards to cell balancing or?
3	Q I am talking about with regard to cell
4	balancing and/or overcharging of any particular
5	battery cells.
6	In other words, does the motherboard
7	have some ability, independent of the Battery
8	Management System, to monitor cell balancing and to
9	shut down the power to the battery pack in the event
10	of overcharging of a particular battery cell?
11	A The motherboard did not look for cell
12	balancing dynamically. So, it did not the
13	motherboard and the code running on the motherboard
14	did not actively did not dynamically look at cell
15	balance and did not look at cell balance.
16	Q So, the only cell balance function for
17	the HP Pavilion laptop was contained in the Battery
18	Management System of the battery pack?
19	A Correct. The only supervision was in
20	the battery pack.
21	Q Now, we talked about cell balancing and
22	cell imbalancing and overcharging can lead to some
23	other things including overheating of a cell battery
24	pack and overheating of the battery pack.
25	So, did the motherboard have the

	Page 83
1	capacity to sort of monitor some of the sequela of
2	cell overcharging or imbalancing?
3	In other words, some of the
4	Could it monitor whether the there
5	is overcharging occurring that was causing too much
6	heat, for instance?
7	MR. BETKE: I am going to object to the
8	form of the question, but you can go ahead
9	and answer it.
10	MR. SCHWARZ: I will rephrase it
11	because it went on forever, and thank you.
12	MR. BETKE: I wasn't going to say that,
13	but.
14	Q So, when cells become imbalanced, they
15	can create a situation for too much heat being
16	created by the battery, correct?
17	A I am not sure if it's about heat, but
18	the cell imbalance I am sorry.
19	The cell imbalance itself is not the
20	problem. It's an over voltage of any cell that could
21	create a damage of the cell.
22	Q Right.
23	So, in other words, if there are
24	multiple cells in these battery packs and if some
25	cells are fully charged and some cells are not

Page 84

charged to the same extent, and current continues to 1 2. flow into the battery pack, there is a potential for those fully charged cells to become overcharged? 3 In an HP battery pack, we have those Α 4 two redundant systems that would prevent that. 5 6 Without those safequards, yes, the 7 voltage from the -- the battery pack as per the charged voltage. And as long as that charged voltage 8 is within some boundary, the motherboard will set the 9 10 charging IC to give the voltage requested by that 11 battery. 12 So, the motherboard integrated circuit 13 controls the flow of current into the battery pack? 14 Α Yes. Only controls the voltage that 15 goes to the battery pack. And you are saying that the balancing 16 17 function is performed entirely within the battery 18 pack and the motherboard doesn't get any kind of 19 realtime data as to what the balancing situation is? 20 That's correct. Α 21 But if those battery imbalance systems 22 on the battery pack were to fail or not exist, would

the motherboard have the capacity to shut down the

as an overheating situation caused by that over

power to the battery pack if there was a failure such

23

24

25

	Page 85
1	voltage?
2	A I think you are leading to something
3	else.
4	The motherboard relies on the battery
5	pack to avoid over voltage. The motherboard will
6	only contain the maximum the total maximum voltage
7	that the battery pack. But the motherboard does
8	not distinguish that there is an imbalance on the
9	battery pack. It relies on the battery pack to
LO	differentiate that.
L1	Q So, if I understand what you are saying
L2	correctly, the only thing the motherboard does is
L3	monitors the maximum voltage going to the battery
L4	pack. It doesn't monitor the voltage in any
L5	particular cell in the battery pack or has any role
L6	in managing the cell balancing of the voltage of
L7	those cells?
L8	A That's correct.
L9	Q And I think I asked you this question
20	previously, but I am going to ask it again under this
21	topic.
22	In the event that the overcharging
23	system within the Battery Management System fails and
24	there is an overheating situation, is there any
25	functionality of the motherboard to detect that and

	Page 86
1	take any action to stop the charging of the battery
2	pack?
3	A I am sorry that I didn't answer this
4	before. But I am not sure what the circuitry on the
5	motherboard would be dynamically for that.
6	Q And if the motherboard
7	Withdraw that question.
8	We saw that there was a particular pin
9	that was provided to transmit information from a
10	separate thermistor into the motherboard, correct?
11	A Yes.
12	Q So, that means that the temperature
13	information has the capacity to be transmitted
14	independently of the Battery Management System to the
15	motherboard?
16	A Yes.
17	Q And if HP wanted to do so, it could
18	design functionality to have the motherboard take
19	action if a certain temperature value was transmitted
20	through that pin?
21	A Yes.
22	Q And in your experience in various
23	laptop designs, is it your understanding that in at
24	least some series of HP computers, that functionality
25	is provided to the motherboard to detect overheating

	Page 87
1	of the battery pack and shut down the power
2	independently of the Battery Management System?
3	A That I just can't I can't say with
4	accuracy. I can't say that with reliability. I don't
5	know. I am not sure. I assume the answer is yes,
6	but I can't say that a hundred percent accurately.
7	Q With regard to topic number three, did
8	you look at any additional documents other than the
9	ones you've already provided to educate yourself as
10	to how to answer questions regarding topic number
11	three?
12	A No, I haven't. Not for this position,
13	no.
14	Q In preparing for this deposition, did
15	you speak to any other HP employees or former
16	employees on topic number three to educate yourself
17	to provide answers?
18	A No.
19	Q I want to move now to topic number
20	four.
21	And topic number four reads:
22	"HP's knowledge of counterfeit"
23	MR. SCHWARZ: Actually, it's been
24	another 45 minutes.
25	Do you want to take a break now or you

Page 88
want to keep going?
MR. BETKE: We can take a quick break.
It probably wouldn't hurt.
MR. SCHWARZ: How about a five-minute
break?
MS. BETKE: Sure.
Lee, does that sound good with you?
THE WITNESS: Yeah, great.
MR. BETKE: Okay. Sounds about right.
MS. WANEMAKER: Yes.
MS. SCHWARZ: Okay.
VIDEOGRAPHER: The time is 9:15 p.m.,
and we are going off the record.
(Whereupon, a short break was taken)
VIDEOGRAPHER: The time now is 9:21
p.m., and we are back on the record.
Q Mr. Atkinson, I would like to move on
to now into topic number four, which is I will
read them into the record:
"HP's knowledge of counterfeit
batteries on the market that were being
sold for use in Pavilion Series Laptop
computers prior to the date of incident,
including contact with third-party battery
suppliers regarding safety and design of

	Page 89
1	counterfeit replacement batteries."
2	So, let me take those in two different
3	segments.
4	And before actually doing that, let me
5	ask you this.
6	What did you do to prepare to respond to questions
7	on topic number four for today?
8	A I've reviewed our history of
9	especially the battery counterfeit message that were
LO	introduced in something like 2019 to understand what
L1	the thinking was on that.
L2	Q So, when you say the battery
L3	counterfeit message, are you talking about something
L4	that was programmed into HP computers to alert users
L5	that the battery pack or the battery management
L6	the battery pack I am sorry that was connected
L 7	to the computer was a counterfeit?
L8	A It's a message that notifies the
L9	customer when the battery doesn't respond in a
20	special HP way.
21	Q I am going to get into what the systems
22	are. I just want to ask you.
23	When you say the message, you are
24	talking about a message that would flash on the
25	screen of the device itself, right?

Page 90 A message that the user is given, yes, 1 2 on display when they turn it on. And did you review any internal HP 3 documents that discussed the need for that message? 4 A few years ago. A few years ago with 5 6 an engineer I spoke to this, yes. 7 A few years ago you spoke to a person 0 who told you that? 8 9 MR. BETKE: Hold on for one second. I think what might be hanging up Lee is 10 11 I think he just knows about this from his 12 So, you are, like, asking what he 13 did to prepare for it, but, like, so, it's 14 confusing to him because he just knows 15 about it because he was part -- you know, it was involved in his work. 16 17 Thank you. MR. SCHWARZ: Okay. 18 MR. BETKE: If that helps. 19 That's helpful. MR. SCHWARZ: 20 THE WITNESS: Thank you. 21 Mr. Atkinson, when did you first become involved in your role at HP in concerns over 2.2 counterfeit battery packs being used in HP computers? 23 I think it's been within the last five 24 Α 25 or six years.

	Page 91		
1	Q How did that occur?		
2	How did you become involved in that		
3	particular topic?		
4	A Working on other cases that had		
5	Working with other cases that involved		
6	aftermarket batteries or third-party batteries.		
7	Q So, your involvement with that topic		
8	was because of legal cases that were brought?		
9	A Yes.		
10	Q Is there a section or division or unit		
11	within HP that has actually become involved in		
12	investigating counterfeit battery packs that were out		
13	on the market that were being sold for use at HP		
14	computers, that you are aware of?		
15	A Well, at least I am aware of a group		
16	who looks for counterfeits. And specifically HP		
17	branded batteries, yes, there is a group that does		
18	that.		
19	Q Can you describe what the name or		
20	description of that group is?		
21	A I can't remember their name. I can't		
22	remember their name of the group, but they basically		
23	look at the use of the HP brand.		
24	Q Is it part of the legal department, to		
25	your knowledge?		

	Page 92	
1	A I don't know.	
2	Q How did you become aware of this group	
3	and what information did you get from the group?	
4	A I was curious what HP would what HP	
5	could do on after market, on counterfeit, cloned	
6	batteries.	
7	Q And when you say what HP could do, you	
8	are talking about sort of taking legal action against	
9	manufacturers that were trying to sell products that	
LO	appear to be HP products but were not?	
L1	A Especially interested in what we might	
L2	be able to do to what we could do to avoid the	
L3	problems with third-party with substandard batteries.	
L4	Q And in your	
L5	In the other cases that you were	
L6	involved in, were counterfeit batteries in legal	
L 7	cases I am talking about that you indicated you have	
L8	been deposed a number of times I think you said four	
L9	in battery cases.	
20	Did all four of those involve	
21	counterfeit batteries?	
22	A No.	
23	Q Did some number of those four involve	
24	counterfeit batteries?	
25	A Oh, I am sorry. Third-party batteries.	

	Page 93	
1	At least some that I seen involved third-party	
2	batteries.	
3	Q Let's talk about third-party batteries.	
4	Would it be fair to say that HP did not	
5	manufacture any of the battery packs including the	
6	ones that came with the original HP Pavilion?	
7	That they are all made by	
8	third-parties?	
9	A I am sorry. By third-party batteries,	
10	I mean unauthorized manufactured. Batteries that are	
11	not authorized by HP.	
12	Q Okay.	
13	A But to answer, that HP does not	
14	manufacture.	
15	Q Right.	
16	So, we looked at Exhibits 3 and 4 and	
17	you said there is an alogous 6-cell version of	
18	Exhibit 3 where HP has a specification, but in order	
19	for a particular battery pack to be authorized to be	
20	used on an HP device, HP has to approve a	
21	specification similar to one that LG submitted that	
22	we looked at as Exhibit 4, correct?	
23	A It needs the approval process, yes.	
24	Q And LG was only one of several	
25	manufacturers that got the approval to use their	

	Page 94	
1	particular battery pack in HP Pavilion computers,	
2	correct?	
3	A Well, they built through HP's	
4	specification. So, we regard that as an HP battery	
5	of course.	
6	But, yes, the batteries that were made	
7	were all through our specification and through our	
8	business purchasing, yes.	
9	Q I am sorry. Let me I think	
10	I know what you meant, but I don't	
11	think you said it that way.	
12	Batteries that were included in the HP	
13	Pavilion Series laptops as sold were all approved,	
14	authorized battery packs under this process that we	
15	talked about?	
16	A Yes, the HP processed battery. Yes.	
17	They were HP approved batteries.	
18	Q Right.	
19	But you became aware at some point in	
20	your career that there were other unauthorized	
21	third-party manufactured batteries that were being	
22	sold as if they were authorized approved HP	
23	batteries, right?	
24	A No. Not sold as autho I am sorry.	
25	We were aware that at some time there	

	Page 95	
1	were ones with HP brand. But within the last five or	
2	six years, aware that there are batteries that have	
3	no HP approval whatsoever that are sold as	
4	replacement of authorized HP batteries.	
5	Q You became aware of that?	
6	A Yes.	
7	MR. BETKE: I think there might be a	
8	nomenclature thing here between counterfeit	
9	versus third unapproved third-party.	
10	Maybe you want to ask about that. I think	
11	you guys might be talking past each other	
12	in that regard.	
13	Q So, is there a distinction in your view	
14	between unapproved unauthorized battery packs made by	
15	third-parties versus counterfeit battery packs made	
16	by third-parties?	
17	A Yes.	
18	Q And help me with that distinction, what	
19	you are talking about.	
20	A Counterfeit would have the HP brand.	
21	Q Okay.	
22	A It would be marked as HPs.	
23	Q So, let's talk about that.	
24	So, in the last five or six years, you	
25	became aware that there were some unauthorized	

	Page 96
1	battery packs being sold to be used as replacement
2	batteries for HP Pavilion laptops and some of them
3	actually had HP's brand on them and some of them
4	didn't?
5	A The counterfeits I vaguely was aware
6	of counterfeits for a long time. Longer than that
7	period of time. But especially in the last five or
8	six years, it's a very clear significant availability
9	of third-party battery packs that are not branded
10	with HP.
11	Q So, again the distinction that counsel
12	for HP was helping me understand is that there are
13	sort of two classes of unauthorized battery packs out
14	there that could potentially be used in a Pavilion
15	computer.
16	One of them actually has an HP brand on
17	it and the other one doesn't, but both of them have
18	not been cleared by HP to work effectively in this
19	computer system, right?
20	A That's correct.
21	Q And you said that you have been aware
22	of those unauthorized battery pack issues for many
23	more than five or six years?

of those battery packs has become significant in the

24

25

Α

Yes. The non HP brand. The prevalence

Page 97 last five or six years. 1 2. O And in addition to the --3 Withdraw that question. Are you aware of HP actually acquiring 4 any unauthorized battery packs and studying them to 5 6 find out their functionality? 7 I've done that. I am not aware if Α 8 anybody else has done that. 9 Q When did you begin doing that? Α Probably about three years ago. 10 11 And how many different unauthorized HP 0 12 Pavilion battery packs would you say that you 13 studied? Α 14 Two or three. Two. 15 0 And you said that that happened in the 16 last two or three years? 17 Two to four years, let's say. Α 18 0 Did you write reports based upon what 19 you found in studying those unauthorized battery 20 packs? 21 Α Protected by privilege. Only one that 22 I reviewed that might have privilege on it. 23 MR. BETKE: So, hang on, Lee. 24 answer the question yes or no. And then, 25 if you believe it was related to litigation

	Page 98
1	that it was communicated to an attorney,
2	then so state, and we will invoke a
3	privilege.
4	But at least answer the question
5	whether or not you prepared some reports.
6	THE WITNESS: Yes.
7	Q Just so that I am clear, your efforts
8	to do this, this process of investigating
9	unauthorized battery packs for use in the HP
10	Pavilion, was that done for purposes of litigation
11	only?
12	A Yes.
13	Q So, other than your efforts to study
14	unauthorized battery packs and whatever functionality
15	they had, that you did in litigation, you are not
16	aware of any similar process going on within HP where
17	unauthorized battery packs were being studied for
18	purposes of, say, safety and warning consumers not to
19	use these products?
20	A Well, I am not aware of any other study
21	with an HP for these other for these other battery
22	packs.
23	Q Right.
24	Have you
25	Did you inquire as to whether

	Page 99
1	Did you take any action in preparation
2	for today to find out whether there was some other
3	unit within HP that was doing that function outside
4	of purely for litigation?
5	MR. BETKE: Or would you know as part
6	of your work?
7	A I am not aware of any other study
8	for any other study on unauthorized battery packs.
9	Q But my question is did you inquire of
10	anyone else in the company to find out if there is
11	something you are unaware of that is actually
12	occurring with these unauthorized battery packs are
13	being studied for purposes other than litigation?
14	Did you inquire of anyone about that
15	topic?
16	A No. I've had discussions with other
17	people on this, but I am not aware of any other
18	activity that actually to actually analyze these
19	battery packs.
20	Q When you say you've had discussions,
21	again, I don't want to know any discussions you had
22	with lawyers, but, in other words, have you had any
23	discussions within the company, generally outside of
24	litigation process, about the potential hazards
25	associated with using these unauthorized battery

		Page 100	
1	packs because	they lack certain safety features?	
2	A	Yes.	
3	Q	And when did you have those	
4	conversations	and with whom again outside of	
5	litigation com	ntext?	
6	A	The question about how we can about	
7	what me might	be able to do to discourage use of	
8	third-party batteries.		
9	Q	Yes.	
LO		So, that's what I understood the	
L1	concept was, l	out my question is, who did you discuss	
L2	that with and	when?	
L3	A	I talked to a few people over the last	
L4	four years on	this. Last four years on this.	
L5	Q	So, we have when.	
L6		Who were the few people that you	
L7	talked to?		
L8	A	Another person who I have to name a	
L9	name, David P	ipho.	
20	Q	Do you know how to spell that, please?	
21	A	P-I-P-H-O.	
22	Q	Where in the HP system is Mr. Pipho	
23	housed?		
24	А	He works in quality. HP quality group.	
25	Q	Where is that located?	

	Page 101
1	A In Houston.
2	Q Can you recall the name of anyone else
3	that you had this conversation with?
4	A Yes. With no. I am trying to
5	remember specifically. I can't remember a name
6	that I am trying to remember a specific
7	conversation that I had with someone. But at least I
8	am trying to remember specific discussion.
9	Q You are having trouble remembering who
10	you talked to?
11	A Yeah. I am trying to put in context
12	I am trying to remember a specific conversation and
13	how and what exactly we talked about.
14	Q So, the only name that you can give me
15	is Mr. Pipho?
16	A Yes. Right now, yes.
17	Q With regard to your discussions with
18	Mr. Pipho, do you know if any action was taken by HP
19	to further those discussions after you had the
20	discussion with Mr. Pipho?
21	A I don't know if there I don't know
22	if there is anymore further action. No, I don't
23	know.
24	Q Do you remember why it was that you
25	chose Mr. Pipho to discuss this particular topic

	Page 102
1	with?
2	A I am aware of other incidents we had
3	with after market batteries or third or
4	unauthorized batteries.
5	Q I am sorry. I didn't catch your
6	answer.
7	You are saying that you knew he was
8	aware of that problem as well?
9	A Yes.
10	Q And how did you understand that he was
11	aware of this problem in addition to you being aware
12	of it?
13	A I don't remember how.
14	Q Did you communicate with Mr. Pipho any
15	of the knowledge that you had gained from your
16	analysis of the unauthorized battery packs in
17	litigation?
18	A Litigation, yes. Roughly.
19	Q So, in other words, you gained certain
20	knowledge from your work on a particular legal case
21	about the functionality or lack of functionality of
22	some of these unauthorized battery packs and that
23	information you then used to have this discussion
24	with Mr. Pipho?
25	A Yes.

	Page 103
1	Q And your goal in doing that was to see
2	if there was something that HP could do to prevent
3	those types of unauthorized battery packs that lacked
4	that functionality from operating in the HP systems?
5	A Yes.
6	Q Did you have this discussion with
7	Mr. Pipho one time or multiple times?
8	A Probably multiple times.
9	Q And did Mr. Pipho ever communicate back
LO	to you what, if anything, he or others at HP were
L1	doing to address the concerns that you had raised?
L2	A We discussed ideas about what might be
L3	possible, yes.
L 4	Q Did you communicate with Mr. Pipho
L5	information about the lack of safety functionality
L6	you were finding inside of these unauthorized
L 7	third-party battery packs?
L8	A I did.
L9	Q What did you convey to him on that
20	topic?
21	A That these battery packs are void of
22	even authentic reporting. That the battery packs
23	are some of these battery packs will sacrifice
24	multiple standard safety features.
25	Q And some of those standard safety

	Page 104
1	features would be the thermal protection shutdown
2	process that we talked about?
3	A Yes. The sacrifice almost
4	everything that HP would require for a battery pack.
5	Q And that would include the cell
6	balancing function as well?
7	A Yes.
8	Q And even though these battery packs
9	these counterfeit unauthorized battery packs lacked
10	all of those safety functions, they were still able
11	to function within the HP Pavilion Series laptops?
12	A Yes.
13	Q And you have been aware of this and HP
14	has been aware of this you said over four years?
15	A I am thinking about five or six years.
16	The prevalence of the uses has become significant.
17	Q To your knowledge, has Mr
18	Is it Pipho, did you say?
19	A Yes.
20	Q Has Mr. Pipho communicated back to you
21	any effort within HP to provide information to
22	purchasers of HP laptops of this danger that you are
23	describing of these completely functional battery
24	packs lacking all of the safety devices HP requires
25	that would work in an HP Pavilion laptop?

Page 105 Did you say beyond the 1 MR. BETKE: 2. pop-up that he referred to earlier? Well, I am asking about 3 MR. SCHWARZ: previously manufactured --4 Withdraw that question. 5 6 When did the pop-up that you 7 described -- meaning, a message that the computer 8 operator would see on the screen about the potential 9 that the battery pack that was in the computer was an 10 unauthorized HP device -- when did that pop-up first 11 appear or first become available on a newly 12 manufactured HP laptop computer? 13 Α In 2019. 14 So, prior to that, was there any 15 message that an operator prior to units manufactured 16 prior to 2019, was there any message that a user of 17 an HP laptop, a Pavilion laptop computer, would 18 receive in the event that an unauthorized battery 19 pack lacking safety functions was installed in that 20 computer? 21 MR. BETKE: You are now talking about a 2.2 pop-up, not in the materials that they get 23 with the machine? You are talking about, 24 like, on the computer a pop-up? MR. SCHWARZ: Yes, and we will get into 25

	Page 106
1	the materials. I am talking about the
2	pop-up that you brought up a few minutes
3	ago and Mr. Atkinson described.
4	A Before that there was no message when a
5	non HP battery was when a battery that didn't
6	identify as HP was installed.
7	Q What was the
8	We will get to that. I will leave that
9	for now.
10	I withdraw that question.
11	To your knowledge, was any information
12	about the concerns that you raised with Mr. Pipho
13	ever communicated to registered owners of HP laptop
14	computers that lacked this pop-up?
15	MS. MASTRIANO: Form.
16	A You mean for previous customers? I
17	think that goes to the material that we had before.
18	But no, there has been I don't know of any other
19	communication from HP on these aftermarket batteries.
20	Q So, I think you said that you became
21	acutely aware of this problem in the last five or six
22	years, correct?
23	A Yes.
24	Q And when you became acutely aware of
25	this problem of these completely functional battery

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packs lacking safety devices were out there being used as replacement batteries for HP, did you find that there were others within the company that were aware of this problem even before you were?

A No. I think that -- my sense is that around 2017 is when the company -- when the other people in the battery group decided to have -- we might benefit from some message. I wasn't part of that original group.

Q Okay.

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So, would it be fair to say that laptop computers that were manufactured and purchased prior to that date would not have in its literature contained information about this problem that you only learned about in 2017 or so?

MS. MASTRIANO: Form.

A A message simply was an instruction to not use unauthorized batteries. But, no, I don't know of any other -- I don't know of any other notification that has been given to the customers.

Q What I was getting at is that if the problem -- if you weren't aware of the problem --

If HP wasn't aware of the problem until sometime around 2017, then it is not really possible that that particular problem was communicated to

	Page 108
1	customers who bought computers prior to 2017?
2	MS. MASTRIANO: Form.
3	A I am not aware of any communication to
4	customers
5	MR. BETKE: Objection to the form.
6	Q I think that they objected over your
7	answer.
8	So, can you give your answer again so
9	the court reporter can get that?
10	A I am not aware of any other
11	communication except for the pop-up message to the
12	customers since 2017.
13	Q I am going to move on then to share the
14	screen again with you and just show you topic number
15	five, which is the:
16	"Battery authentication systems known
17	or available to HP prior to the incident to
18	detect and warn against counterfeit
19	replacement batteries, including battery
20	error messaging."
21	And I just want to turn up to the
22	definition section to provide you with a
23	specification of what we mean by the incident.
24	That was the January 24, 2020 incident that gives
25	rise to this litigation.

	Page 109
1	Okay?
2	A (No verbal response)
3	Q So, let's talk about battery
4	authentication systems.
5	Are you familiar with what that phrase
6	refers to?
7	A Could you help define what you mean by
8	authentication?
9	Q Are you aware that there have been and
10	are various ways that a device like an HP Pavilion
11	laptop can determine whether a particular battery
12	pack is an authorized battery pack for the device?
13	A Aware of the mechanisms that have been
14	used to address this concern, yes.
15	Q Okay.
16	And so, let's just talk about the
17	various systems and let me ask you if you agree.
18	So, there is one sort of simplistic way
19	of making sure that an authorized battery pack is
20	used in a particular laptop like the HP Pavilion and
21	that would be basically the form of the battery pack
22	and the pin construction, so to speak, of the battery
23	pack that we talked about previously, right?
24	A It's the mechanical piece especially
25	integrated for a customer replaceable battery as this

	Page 110
1	one, yes, those are custom those are custom made
2	for that period, yes. There is a mechanical team.
3	Q So, you can't just go into a computer
4	battery store and buy any old computer battery. It's
5	not going to fit in the laptop and it's not going to
6	have the pin construction that would be required to
7	connect to the motherboard, right?
8	A That's correct. There is not the
9	universal standardized battery like we have with a
10	flashlight. Correct.
11	Q So, that sort of the most simplistic
12	means of making sure that the battery pack that is
13	used in an HP Pavilion would work in HP Pavilion is
14	to have it it would have to have the same form, it
15	would have to have the same pin construction to
16	actually integrate with the motherboard, right?
17	A Correct.
18	Q So, that's sort of the most simplistic.
19	But then there are other means that can
20	be used for the computer, assuming that the form and
21	the pin construction is the same, would be the
22	computer to actually determine whether or not the
23	battery pack that has been installed is one that is
24	authorized, correct?

Well, I understand the intention , yes.

25

Α

	Page 111
1	Q So, let me just go through some of
2	those systems that are on the market to see if you
3	have an understanding of that.
4	I apologize. I will find the section
5	in my outline.
6	Now, one of those I am going to refer
7	to as an identification based authentication scheme.
8	Are you familiar with that phrase?
9	A Well, no.
10	Can you explain what you mean
11	specifically by that?
12	Q Sure.
13	In that type of scheme that I am using,
14	the laptop would send a command to read data from the
15	battery pack. And if the data coming from the
16	battery pack is the correct data, that the
17	motherboard is expecting, then that would be the
18	authentication step.
19	Are you familiar with that?
20	A Yes.
21	Q Was there any such system designed into
22	the HP Pavilion laptop computer that's at issue in
23	this case?
24	A No.
25	Q Has HP implemented any similar system

	Page 112
1	in any series laptops since the manufacture of this
2	particular laptop involved in this case?
3	A Yes.
4	Q And has it implemented this type of
5	authentication system or some other type of
6	authentication system?
7	A You mean a simple query from the
8	motherboard to the battery with a code, yes. That
9	was the change in 2019.
LO	Q Now, the drawback of that type of
L1	authentication system is that anyone who wanted to,
L2	either counterfeit or have an unauthorized battery
L3	pack, could use a oscilloscope to figure that out if
L 4	they bought a Pavilion laptop computer and the
L5	battery pack that came with it, correct?
L6	A Yes.
L7	Q So, there are other systems that are
L8	also available which are similar in a sense to
L9	what many of us use to provide security when we
20	remote connect with computers where there is a
21	challenge and then a calculation using a secret key
22	that's in both systems, correct?
23	A Yeah, I understand that.
24	Q And has HP utilized any similar systems
25	with secret keys in the battery pack and in the in

	Page 113
1	the authorized battery packs and in the laptop which
2	allow for that type of security where both of those
3	secret keys are used to calculate a result that
4	matches?
5	A No. We have not used those.
6	Q Has that been looked into by HP as a
7	way to assure that the authorized battery packs are
8	actually the ones that are used in replacements?
9	A Yes.
10	Q What is the
11	What was the decision one way or the
12	other as to whether or not to use that system or not,
13	to your knowledge?
14	A We haven't used it.
15	Q Was that a function because it would
16	add expense to the device?
17	A No.
18	Q What is the reason why HP has not used
19	that type of system?
20	A Those systems always break. They are
21	always they are just another speed bump. They are
22	just another hindrance that they are always able to
23	break. Always able to be broken.
24	Q Sure.
25	But does HP recognize that the cost of

	Page 114
1	breaking the authentication system would be a factor
2	in the profitability of the counterfeiting operation?
3	MR. BETKE: Objection to the form.
4	MS. MASTRIANO: Same.
5	A My opinion is that it will not
6	discourage it will not stop someone from stealing
7	the key and it will not stop someone from
8	refurbishing genuine HP battery packs.
9	Q Let me go back to the second part.
10	So, if the secret key were imbedded in
11	an authorized HP battery pack but the cells became
12	dysfunctional, someone could actually take that
13	battery pack out, replace the cells, keep the
14	integrated circuits that are included in that which
15	include the secret key, and that would be functional
16	under this type of authentication system?
17	A That's correct.
18	Q Now, the information you gave from your
19	study of some of these unauthorized battery packs was
20	that they lacked certain safety features, important
21	safety features.
22	You said they actually sacrificed all
23	of that and none of that functionality was included,
24	correct?
25	A It was very, very little. Yes. The

	Page 115
1	significant features were missing.
2	Q Do you know if HP has looked into some
3	mechanism within the motherboard of the laptop to
4	actually identify whether those safety systems are
5	included?
6	MR. BETKE: Can we put some time on
7	this timeframe on this, Steve? Because
8	the there is a little bit of a glitch
9	here. Because the laptop at issue was
10	designed and manufactured in, like, 2009
11	and 2010 and I think you have him talking
12	about 2023 or some version of that.
13	So, if you can put a time on that so
14	the witness knows what you are asking his
15	knowledge of.
16	MR. SCHWARZ: Okay.
17	Q So, let's go back.
18	I believe you said that this problem,
19	itself, became known to you and known to the company
20	at least by 2017, correct?
21	A Correct.
22	Q And so, these systems that we are
23	talking about as possible chores for this problem or
24	solutions to this problem would be ones that would
25	have been discussed after 2017?

	Page 116
1	A Correct.
2	Q And so, in that timeframe what I am
3	asking is was in addition to the authentication type
4	system that we have been talking about, whether it
5	would be the challenge response system or the secret
6	key calculation systems, in addition to those, was
7	there any
8	Has there been any discussion since
9	2017 of programming the device, itself, to be able to
10	determine whether safety devices were actually
11	functional in the battery pack?
12	A I can't, no. I can't imagine how we
13	would be able to discern that remotely. No, I can't
14	think of a way that we would discern remotely that
15	there were what the construction of the battery
16	pack was.
17	Q So, in other words, from a
18	technological standpoint it would be in your
19	knowledge impossible for the motherboard to be able
20	to determine whether those cell balancing and
21	overheating over temperature devices were actually
22	functional on the battery pack.
23	A Undetectable. Undetectable if the term
24	if the goal was to disguise those. Yes,
25	undetectable.

	Page 117
1	Q I want to go back actually to the first
2	topic listed on the 30(b)(6) notice known as Exhibit
3	1. Let me just show you that.
4	And that topic says:
5	"All warnings, manuals, and
6	instructions issued by HP to purchasers of
7	HP Pavilion Series laptop computers similar
8	to the laptop"
9	Which is the one at issue in this case.
10	" regarding replacement batteries,
11	including risks of using non-HP
12	manufactured replacement batteries, and the
13	method of communicating such warnings to
14	purchasers."
15	Do you see that?
16	A Yes.
17	Q Actually, after our discussion today, I
18	recognize that that's probably improperly phrased
19	because it isn't HP manufactured replacement
20	batteries. It's HP authorized replacement batteries
21	that we are talking about.
22	Correct?
23	A I am sorry. HP manufactured oh,
24	yes. Non-HP authorized batteries. I understand.
25	Q And my question, I guess, is as you

	Page 118
1	were preparing for this deposition, and your
2	testimony on the topic one, did the misstatement of
3	non-HP manufactured as opposed to non-HP authorized
4	batteries prevent you from understanding what it was
5	that we are asking about?
6	A No.
7	Q Okay.
8	Because you knew that HP didn't
9	manufacture any batteries. So, you assumed we were
10	talking because we were uneducated
11	MR. BETKE: We read this. We did not
12	read this a ticky-tack manner to say,
13	"ah-ha, like, you messed up the question."
14	We understood you were requesting there
15	about non-authorized batteries.
16	MR. SCHWARZ: Thank you.
17	Q So, my next question then is Mr.
18	Atkinson, what effort did you make to determine
19	the information that was conveyed to purchasers of
20	laptops such as the one at issue in this case about
21	the risks and dangers of using unauthorized
22	replacement batteries?
23	A I looked to what was written;
24	specifically, this laptop.
25	Q And are you talking about in the

	Page 119
1	instruction manual for this particular laptop?
2	A Yes.
3	MR. BETKE: I think specifically it's,
4	like, Laptop Essentials or Notebook
5	Essentials, I think it's called.
6	THE WITNESS: Bates 2010.
7	MR. BETKE: Bates 2010?
8	Q What I am going to do is I am going to
9	show you what has been previously marked as Exhibit
10	5.
11	I am going to put that on the screen
12	now. I just have the cover page, which is HP00053.
13	It's entitled, to "Notebook PC User Guide."
14	Is that one of the documents that you
15	referred to in preparing to answer topic one?
16	A I'm sorry. I don't recognize this one.
17	Let me look at Bates 53.
18	MR. BETKE: Can you scroll down on it?
19	MR. SCHWARZ: Yeah.
20	MR. BETKE: I think that notebook
21	Essentials is maybe part of that, but it
22	may have been Bates as a separate document.
23	Q Let me just go to the table of
24	contents.
25	If that helps, I can make that bigger

	Page 120
1	so you can see it better.
2	A If you could.
3	MR. BETKE: Can you scroll?
4	MR. SCHWARZ: Sure.
5	MR. BETKE: Or better yet, Lee, if you
6	have access this is Bates this is Bates
7	53. If you want to just take us there and
8	pull that up yourself and then scroll down
9	to see if it includes it may have just
10	been the way that it was Bates, but if it
11	includes the 182 to 233.
12	MR. SCHWARZ: While you are doing that,
13	I am going to pick the page I want to start
14	on. And we can see if we are on the same
15	page.
16	THE WITNESS: This looks like the same
17	document. It looks the same.
18	MR. BETKE: Well, why don't we go with
19	these questions and see where that takes us
20	and then we can if you think there is
21	something somewhere else, we can go into
22	that.
23	THE WITNESS: Great.
24	Q So, I am going to start with page
25	HP00108 of Exhibit 5, where it says, "Using External

	Page 121
1	AC Power."
2	Now, this section on the other document
3	that you were referring to that covers the same
4	topics as this.
5	A Okay. Thank you. I see it.
6	Q Okay.
7	MS. MASTRIANO: I'm sorry, Steve.
8	What is the Bates number on this?
9	MR. SCHWARZ: HP00108.
10	MS. MASTRIANO: Thanks.
11	A I see it.
12	Q Okay.
13	Now, turning to HP00109, which is
14	listed as page 47 in the user manual, there is a
15	diagram or a pictorial description.
16	I just want to get some terminology
17	down.
18	This involves the AC adapter. So, this
19	indicates number one is called connect the AC adapter
20	to the power connecter on the computer. And there is
21	a square box that we all are familiar with seeing in
22	any kind of AC adapters.
23	Is there a name for that?
24	A AC adapter is a good word. We call it
25	brick, but the AC adapter is an accurate name.

		Page 122
1	Q	When you referred previously to the
2	brick, that's	what you were referring to?
3		That's just another name for it, right?
4	А	Yes.
5	Q	And that's where the power in
6	alternating c	urrent is converted to a direct current
7	as used by the	e device itself?
8	A	Yes.
9	Q	Okay.
LO		And if we scroll to page 111 of Exhibit
L1	5, there is a	nother pictorial diagram.
L2		And this shows how you remove and
L3	replace or re	install a battery pack, correct?
L4	A	Yes.
L5	Q	Is that something that certain
L6	computers can	use batteries that were called
L 7	imbedded.	
L8		Is that a familiar term for you?
L9	A	Internal batteries, yes.
20	Q	And imbedded or internal batteries are
21	batteries tha	t are not easily replaceable by a user.
22	They would ha	ve to take the whole computer apart
23	basically to	replace the battery.
24		Right?
25	A	They are a little more you need

	Page 123
1	tools to replace an internal battery pack.
2	Q But for the one on the HP Pavilion,
3	similar to the device in question here, it had what
4	would be considered an easily removable battery and
5	easily replaceable battery, correct?
6	A Yes.
7	Q And then there is instructions in the
8	owner's manual about charging the battery.
9	And so, circumstances under which it
10	will take longer and shorter to charge the battery.
11	Is that correct?
12	A That's right. I am looking at the same
13	page you are. Yes.
14	Q And then, I want to skip to page
15	HP00116.
16	And particularly I want to ask you
17	about the replacing the battery section as well as
18	disposing of the used battery section.
19	But before I ask you specific what
20	it specifically says there, based on these two
21	sections, would you agree that it was understood and
22	foreseeable by HP that the useful life of the laptop
23	was likely to exceed the use of the life of the
24	battery that came with it when it initially
25	manufactured?

	Page 124
1	MR. BETKE: Objection to the form.
2	You can go ahead and answer, if you
3	understand.
4	MS. MASTRIANO: Same.
5	A Not necessarily a conclusion, no. I
6	wouldn't say that necessarily the battery would have
7	a shorter life than the laptop.
8	Q I am asking you was it reasonably
9	foreseeable that in certain circumstances that would
LO	be true?
L1	A In certain circumstances, yes, it's
L2	possible.
L3	Q And what you written here is
L4	Not you written here, but what HP has
L5	in this manual. Excuse my phraseology on that.
L6	What HP put in this manual is that
L 7	computer battery life varies depending on power
L8	management settings, programs running on the
L9	computer, display brightness, external devices
20	connected to the computer, and other factors.
21	So, I guess what it is indicating there
22	is that depending on how you use the computer the
23	battery may well exceed its useful life before the
24	laptop does, correct?
25	A No, that's not how I interpret this.

Page 125 Computer battery life has two different 1 2 meanings. One is the longevity of the battery. other one -- and measured in years or cycles. And 3 the other one is how many hours. So, here when it 4 talks about display brightness and other devices 5 connected, that's talking about the run time of the 6 7 battery. 8 Q Okay. 9 Α But not longevity. 10 But the run time of the battery would 0 11 not require replacement, would it? 12 Α Correct. 13 But let me look at the text right here to understand the context better. 14 15 MR. BETKE: Steve, are you asking whether HP knew people might replace the 16 17 batteries? 18 MR. SCHWARZ: That's what I am asking. THE WITNESS: Yeah, of course we --19 20 MR. BETKE: It's replacing the battery. 21 I think we are getting hung up on 2.2 nomenclature. That's why I'm cutting in. 23 The question is, is HP aware that 24 people might replace the battery. I think this very document clearly 25

	Page 126
1	indicates, yeah, people might replace the
2	battery depending on whatever reason.
3	MR. SCHWARZ: Okay. That's the bottom
4	line. And if you are stipulating to that
5	then
6	MR. BETKE: Well, he is testifying to
7	it.
8	Why don't you ask him? I am not in
9	position to stipulate for the client.
10	But was HP aware that people might
11	replace the battery as indicated by this
12	document which says replacing the battery?
13	MR. SCHWARZ: I will adopt that
14	question.
15	THE WITNESS: Yes, of course.
16	MR. BETKE: Might it be time for a
17	quick break? Another restroom break?
18	MR. SCHWARZ: Sure.
19	MR. BETKE: And also, Steve, if you
20	could while we are taking a break, maybe if
21	you can give some thought on how much
22	longer you think you will have. I am not
23	trying to limit you or anything. I'm just
24	trying to figure out it's 10:30 Lee's
25	time so I'm trying to get

	Page 127
1	MR. SCHWARZ: I believe I will be done
2	before noon.
3	MR. BETKE: That sounds great.
4	THE WITNESS: That's great.
5	MR. BETKE: Because
6	Off the record.
7	(Whereupon, a discussion was held off
8	the record)
9	VIDEOGRAPHER: The time is 10:21 p.m.,
10	and we are off the record.
11	(Whereupon, a short break was taken)
12	VIDEOGRAPHER: The time is 10:29 p.m.,
13	and we are back on the record.
14	Q I am going to share the screen again to
15	show page HP00110 of the user manual for the computer
16	that we marked as Exhibit 5.
17	Can you see that?
18	A Yes.
19	Q Let me blow it up a little bit.
20	So, the only reference I could find in
21	here is that in the user manual concerning the type
22	of replacement battery to utilize if the replacement
23	battery is needed is under this "Using Battery Power"
24	heading of the manual and the warning that is listed
25	here that is on the page.

	Page 128
1	Do you see that?
2	A Yes, I see that.
3	MR. BETKE: Steve, what page is this?
4	MR. SCHWARZ: HP00110.
5	MS. BETKE: Okay.
6	Q And this warning says:
7	"To reduce potential safety issues, use
8	only the battery provided with the
9	computer, a replacement battery provided by
LO	HP, or a compatible battery purchased from
L1	HP."
L2	Did I read that correctly?
L3	A Yes.
L4	Q Now, what potential safety issues were
L5	intended to be referenced there, to your knowledge,
L6	and based upon your study of the warnings that were
L7	provided?
L8	A In that time, I don't I am not sure
L9	there was a specific a specific safety item
20	mentioned. I think it was just generally trying to
21	discourage anybody with a homemade battery or some
22	other power source. I don't believe that there was a
23	specific a specific alternative battery even
24	mentioned here. I am not sure there were any kind of
25	clone battery market even really in that time.

	Page 129
1	Q Okay.
2	So, the dangers that you've discovered
3	in your analysis of some of the what you call the
4	cloned or unauthorized batteries of having none of
5	the safety devices, that information wasn't even
6	known at the time in 2010 when this manual was
7	written.
8	Is that what your testimony is?
9	A I think there were some vague
10	understanding that there might be counterfeits, but,
11	no, there was nothing in the way of the ultimate
12	sales of replica, cloned batteries that we have now.
13	It wasn't there at all.
14	Q And again
15	A And
16	Q I'm sorry. I spoke over you and I
17	apologize for that.
18	And just a final point on that, your
19	awareness that there were cloned batteries out there
20	that had none of the safety features that were
21	required by the authorized batteries, that didn't
22	happen until after the time that this manual was
23	written, correct?
24	A Yes. That was really about four or
25	five years ago for me. Yes.

	Page 130
1	Q And in your discussions and preparation
2	for your testimony today, did you discover that there
3	were other people in the company that had concerns
4	about these counterfeit batteries prior to 2017?
5	A I haven't found anybody who I am
6	sorry awareness of counterfeit batteries but know
7	that these are exceptional those are exceptional
8	and I think virtually nonexistent. I think those
9	were limited to counterfeits only.
10	No, I haven't found anybody before that
11	time who recognized who was aware of a significant
12	problem or a significant number of these kind of
13	batteries.
14	Q And by these kind of batteries, you are
15	talking about the counterfeit batteries that had none
16	of the safety devices that were required for the
17	authorized batteries?
18	MR. BETKE: Unauthorized, right? I
19	don't want you to be caught up
20	nomenclature.
21	A Yeah. Counterfeit are like cloned
22	batteries. But these ones that don't have an HP
23	brand, I don't think we were aware of those at all in
24	2009 when this battery was designed.
25	Q Again, does that mean

	Page 131
1	I don't mean to get caught up in a
2	nomenclature.
3	What I am trying to get at is whether
4	they had an HP brand or not, at the time that this
5	manual was written, are you saying that HP was
6	unaware that there were batteries out there whether
7	they be unauthorized or counterfeit that lack the
8	safety features that HP required for authorized
9	batteries?
LO	A Vaguely we knew that some existed, but
L1	it was a but those were basically elicit product.
L2	There was really no awareness of these kind of
L3	these battery packs. No awareness of a problem with
L4	these battery packs then. I never remember any
L5	discussion about that; I will say that.
L6	Q Okay.
L7	And that's what I am getting at.
L8	In other words the knowledge that not
L9	only there were unauthorized battery packs but there
20	were unauthorized battery packs that lacked certain
21	very important safety features.
22	That knowledge was gained you think
23	sometime after the time that this was written?
24	A Yes.
25	Q So, in your analysis of information on

	Page 132
1	replacing batteries
2	Withdraw that question.
3	Do you have any knowledge as to why
4	this warning was not included or repeated under the
5	section we previously looked at which was entitled
6	"Replacing the Battery"?
7	A No idea.
8	Q To your knowledge, was there any other
9	warning provided to purchasers of these HP Pavilion
LO	laptops in or about 2010 or 2011 about the potential
L1	hazards of replacing the battery with an unauthorized
L2	HP battery other than this one warning that we found
L3	on page 110?
L4	A I'm only aware of one other, which is
L5	210.
L6	Q Is 210 within this document or a
L 7	different document?
L8	A I think different document.
L9	Q Okay.
20	Tell me what is your understanding of
21	what that second document is and whether it was
22	included in the literature that was provided with
23	these laptops or it was provided some other way?
24	MR. BETKE: Lee, can you share that
25	document for

	Page 133
1	Steve, can he share that document? Are
2	you able to
3	MR. SCHWARZ: Sure. I mean, we can ask
4	the videographer to allow him to screen
5	share.
6	MR. BETKE: Is that okay, Lee?
7	THE WITNESS: Yes.
8	MR. BETKE: Just so everybody is
9	looking at the same thing. It starts at
LO	I think it's Bates 182.
L1	A Can you see the share?
L2	Q Yes, we can see.
L3	MR. BETKE: We can see it.
L4	(Document was marked as
L5	Plaintiff's Exhibit 8 for
L6	identification, as of this
L 7	date)
L8	MR. SCHWARZ: What we will do is we
L9	will mark this as Exhibit 8. At some point
20	after the deposition, I will take care of
21	that. I will find that document or if you
22	can e-mail it to me, that would be great
23	and
24	MR. BETKE: It was produced. It's
25	Bates 182.

	Page 134
1	MR. SCHWARZ: Right. I think one of
2	the problems with the production was that
3	they contained links that died so we didn't
4	download
5	MR. BETKE: I am happy to send it to
6	you. I can send it to you now, if you
7	want.
8	MR. SCHWARZ: That would be great.
9	MR. BETKE: Hang on one second. I will
10	send it to you.
11	MR. SCHWARZ: Thank you.
12	MR. BETKE: And just for the record if
13	you ever find yourself in a circumstance
14	where a link died or whatever, just shoot
15	us an e-mail and we will send you whatever
16	you need.
17	MR. SCHWARZ: I will do that.
18	MR. BETKE: All right. Hang on. I am
19	going to try to do this. I will send it to
20	everybody. Just for completeness I will
21	send it to you, Jackie and to you, Maria,
22	too.
23	MR. SCHWARZ: Once I get it, I will
24	mark it and put it in the same folder as
25	the other marked exhibits.

	Page 135
1	MR. BETKE: All right. I sent that.
2	In the meantime, Lee, you have that up
3	on the
4	MR. SCHWARZ: Yeah, we are good with
5	what is on the screen.
6	Thank you very much for doing that.
7	MR. BETKE: Let's go ahead, if we can.
8	Q So, you scrolled to page HP00210.
9	Would you do me a favor and just scroll
LO	to the page before to see what the heading is that
L1	this is under.
L2	It's under the heading, "Using the
L3	Computer Safely," is that correct?
L4	A Yes.
L5	Q And then, if you could scroll to the
L6	next page.
L 7	Is the warning you are referring to the
L8	only one on this page which says:
L9	"To reduce potential safety issues use
20	only the AC adapter or battery provided
21	with the computer, a replacement AC
22	adapter, or a battery provided by HP, or a
23	compatible HP adapter, or a battery
24	purchased as an accessory from HP."
25	A Yes.

	Page 136
1	Q Okay.
2	And that's essentially the same warning
3	that we read from the Exhibit 5 except it includes a
4	reference to the AC adapter as well as the battery?
5	A Yes.
6	Q And what is your understanding of this
7	essential document we marked as Exhibit 8?
8	In other words, is that something that
9	was provided to purchasers of these Pavilion laptops
10	at the time of purchase?
11	A I don't know how it was provided. And
12	I can't distinguish it from the other document that
13	we saw. They seem to be very similar.
14	Q Okay.
15	So, it's possible that either one or
16	the other was provided and not both?
17	A I think so.
18	Q In addition
19	Withdraw that question.
20	With regard to the other warning we
21	talked about at the time that that warning was put
22	into the user manual, that that was before you and HP
23	became aware of the unauthorized battery packs that
24	lacked these safety features that were out on the
25	market?

	Page 137
1	A Before we were aware of aware of any
2	danger from really aware that they were really
3	available.
4	Q So, did you find any other then
5	information that was provided to purchasers of the HP
6	Pavilion laptops in the 2010 to '11 period that
7	warned about the use of unauthorized replacement
8	batteries other than the two that we've looked at
9	from these two similar documents?
10	A No.
11	Q Now, since 2017, when you became aware
12	and HP became aware of this problem that we talked at
13	length about with unauthorized HP battery packs that
14	would work with an HP computer, Pavilion computer,
15	that lack safety features
16	Withdraw that question. I am sorry.
17	Let me start again.
18	After 2017 when you became aware there
19	were unauthorized battery packs without safety
20	features that would work in HP Pavilion computers,
21	what steps did HP take to notify previous purchasers
22	of HP computers who might potentially buy
23	unauthorized batteries warning them about the safety
24	issues associated with that?
25	A I am not aware of any. Besides these

Page 138

warnings, I am not sure of any other notification.

Q But since the time that these warnings were devised, the nature of the risk became much better categorized based upon your work in analyzing this unauthorized battery packs that lack safety devices, correct?

A I'm sorry.

Would you please repeat that question again?

O Yeah.

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Since the time the two warnings that we just looked at in the two documents were devised, since that time, years later in 2017 approximately you said, you learned the scope of the danger of not only the scope of the number of these battery packs that are out there, but also the scope of the danger created by them lacking the safety devices. That is all information you did not have in 2010 and 2011.

Correct?

- A That's probably fair, yes.
- Q So, that's my question.

In other words, once you did know the scope of the risk and the scope of the volume of these dangerous battery packs, what action did HP take to notify people who had purchased and

	Page 139
1	registered HP Pavilion laptops to warn them about
2	this new danger that you discovered the scope of in
3	2017?
4	A I don't think that there was exactly a
5	turning point or a sudden moment of discovery. I am
6	not sure and so, I think that our response in 2017
7	was what we could do with new computers and the
8	so-called counterfeit error message. For previous
9	for prior purchasers, I don't know if there was any
10	other communication. I don't know of any other
11	communication to customers.
12	MR. SCHWARZ: Can you switch back,
13	videographer, please, Alexa, to allow me to
14	share the screen?
15	MR. BETKE: I was hoping we are going
16	to stay in control the rest of the way,
17	Lee. Joke for the record. That's a joke.
18	MR. SCHWARZ: I am smiling.
19	MR. BETKE: Let the record reflect that
20	Mr. Schwarz is smiling.
21	Q Returning to Exhibit 1, let me blow
22	this up. Topic number eight. Excuse me. Seven.
23	That you were produced here to answer was whether:
24	"Any post-manufacturer and sale
25	warnings or instructions were provided to

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HP Pavilion Series laptop computers regarding replacement batteries, risks of fire or explosion of lithium-ion batteries and the method by which any such warnings or instructions were provided to prior purchasers."

A Yes.

2.

2.2

Q And you understand that although you don't necessarily have personal knowledge of whether any post-manufacture and sale warnings or instructions were provided, but that was one of the topics that you were supposed to educate yourself about to testify today on behalf of the company.

And your response that you know of no such post-manufacture of sale warnings or instructions is an indication that HP provided no such post manufactural warnings and instructions; do you understand that?

A At least what my testimony was that the message that we have since the owner's manual, I think has persisted until today. We augmented that in 2019 with the extra notifications.

I think your question is whether there was any other communication. And then, I said I am not aware of any other communication.

	Page 141
1	Q But I am saying that as a 30(b)(6)
2	witness produced here today on this topic, you are
3	required to be aware on behalf of HP, and if you are
4	not aware, that means that there were no such
5	communications.
6	Do you understand it as that?
7	MR. BETKE: Objection to the form.
8	Go ahead.
9	A That's correct.
10	MR. BETKE: By the way, just to
11	clarify, the warning you referenced are all
12	on HP.COM still. So, in other words, I
13	don't want us to get that was there then
14	and it's there now. So, that's always I
15	think when he said it's always persisted,
16	that's one of the things he is referring
17	to.
18	THE WITNESS: Yeah.
19	Q Okay.
20	Well, were any additional warnings
21	beyond the warnings that you've shown us from the two
22	documents, from the 2010 era, were any additional
23	warnings about replacement batteries for HP Pavilion
24	laptops posted on the HP website?
25	A No, none that I know of.

	Page 142
1	Q And again, that would be something that
2	would be in topic seven that you were supposed to
3	make yourself aware of?
4	A I understand.
5	Q During your
6	And again, if any of this is
7	privileged, then obviously don't answer this
8	question, but in your investigation of the prior
9	battery packs that turned out to be unauthorized
10	battery packs but lacked the safety features that we
11	have been discussing were required for the authorized
12	battery packs, did you determine who the manufacturer
13	was in any of those cases?
14	A No.
15	Q I am going to share the screen again.
16	I will show you what I previously
17	marked as Exhibit 7.
18	And I will represent to you that this
19	is just an exemplar battery pack of the type that was
20	used in the Pavilion Series HP laptops.
21	And my question is did the battery
22	packs that you looked at that turned out to lack the
23	safety devices required to be authorized battery
24	packs, did they look identical to this in shape,
25	form, and color?

	Page 143
1	A Not to this battery pack, no.
2	Q How did they differ?
3	A It was a previous generation of battery
4	pack, but not this specific not this line of
5	battery packs.
6	Q Okay.
7	MR. BETKE: It's impossible to tell
8	size from this. I don't think there is a
9	measurement. I don't know how he can
LO	MR. SCHWARZ: Okay.
L1	Q Now, I am going to show you what we
L2	marked as Exhibit 6.
L3	I will represent to you that this is a
L4	photograph of the circuit board in the battery pack
L5	that was in the subject laptop computer.
L6	And I just want you to confirm that the
L 7	pin structure here on this laptop that's pointed out
L8	with the diagram is the same pin structure that was
L9	required for the authorized battery packs?
20	A Yeah. I remember you pointed out
21	browned on both ends, but I can't remember and we
22	have the no we have the open pin. So, the pin
23	name looks familiar. The same I assume that they
24	I assume that there is a match, yes.
25	Q So, basically, a non-authorized

	Page 144
1	manufacturer could duplicate this pin placement just
2	by reverse engineering an authorized battery pack,
3	correct?
4	A Yes.
5	Q And an unauthorized manufacturer could
6	also create the identical size and shape that would
7	fit in the Pavilion laptop so such a battery could
8	function?
9	A Yes.
10	Q And there is absolutely no way for
11	Withdraw that question.
12	And prior to the new error message that
13	you say was incorporated into later models of HP
14	laptop computers, there would be no way for a user to
15	know that an unauthorized battery was in their
16	computer?
17	MS. BETKE: Objection.
18	I assume you mean by a pop-up.
19	MR. SCHWARZ: I mean, there would be no
20	message. There would be no function of
21	loss. There would be nothing that would
22	indicate to the user that an unauthorized
23	battery was operating the system.
24	MR. BETKE: Objection to the form.
25	Lee

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1	MS. MASTRIANO: Same.
2	A I think in we testified that before
3	2017 there wasn't even a discussion for so, no we
4	did not have any pop-up message before 2019. And so,
5	yes, it was possible for someone to make it's
6	possible for someone to make a battery that is
7	functionally looks like an HP battery.
8	Q It looked like and actually functioned
9	the same way without the safety devices?
10	A Yes.
11	MR. SCHWARZ: Let's take a break. I
12	just want to check my outline. I might pass
13	the witness, if any further questions are
14	going to be asked.
15	Just give me five minutes.
16	MR. BETKE: Great, Steve. Thank you.
17	VIDEOGRAPHER: The time is 10:57 p.m.,
18	and we are going off the record.
19	(Whereupon, a short break was taken)
20	VIDEOGRAPHER: The time is 11:04 p.m.,
21	and we are back on the record.
22	Q Mr. Atkinson, I have one more topic
23	area I would like to cover and I just need to cover
24	that. And I am going to put it back on the screen.
25	And it is topic six which reads:

	Page 146
1	"Reports received by HP of fires and
2	explosions caused by overcharging
3	lithium-ion batteries in HP Pavilion Series
4	laptop computers and investigations of any
5	such incidents."
6	Is that a topic that you came here
7	prepared to testify about today?
8	A Yes.
9	Q And what have you done to educate
10	yourself on that particular topic?
11	A I've talked with counsel to see what
12	evidence we have or what we have from this.
13	Q And what evidence did you review to
14	determine whether there had been any reports of such
15	incidents of other HP Pavilion laptop computer
16	batteries malfunctioning in this way?
17	A I think we have no evidence of
18	overcharging being a cause or any language between
19	overcharging and the fires or even you know, I
20	don't think we have any I don't think we have
21	reports of overcharging in lithium-ion batteries.
22	Q So, are you saying then that you found
23	reports of fires and explosions that were not caused
24	by overcharge?
25	A I think that there was one report of a

	Page 147
1	suspicion that I think is actually in the Bates
2	documents here.
3	Q That's the only other fire or explosion
4	of an HP Pavilion laptop battery that you are aware
5	of?
6	A In this series laptop, yes.
7	Q Are you aware of other series laptops,
8	battery fires and explosions that were not of this
9	particular series?
10	A I am aware of other reports of other
11	incidents where there were fires. Yes, there were
12	some.
13	Q And were those other incidents
14	involving replacement batteries that were
15	unauthorized?
16	MR. BETKE: He's testified to that.
17	A Yes.
18	Some with yes. Some with
19	third-party batteries.
20	Q Do you know the dates of those
21	incidents?
22	A I can't remember. I think no, I
23	can't remember. I think that was even I can't
24	remember. I think it was about 2016 or something,
25	but I am not sure.

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1	Q Was it your investigation of one of
2	those incidents that led you to learn that some of
3	these replacement unauthorized batteries lack the
4	important safety features?
5	A Yes.
6	MR. SCHWARZ: I believe those are all
7	the questions I have for you, Mr. Atkinson
8	today.
9	And I will turn you over to counsel for
LO	Staples who has some questions for you.
L1	EXAMINATION
L2	BY MS. MASTRIANO:
L3	Q Good morning.
L4	I am Maria Mastriano, and like he said,
L5	I represent Staples, Inc.
L6	He asked I had five minutes of
L 7	questions, but he just asked most of them. So, I
L8	just have a couple.
L9	The first is I know that you were just
20	discussing any reports of overcharging related to
21	fire, correct?
22	A Yes.
23	Q Of an HP battery?
24	A Well, he was talking about
25	MR. BETKE: I think aftermarket.

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1	A In your reports, yeah, of overcharging,
2	yes.
3	Q So, I'm sorry. Just to understand.
4	Do you have any evidence or any prior
5	knowledge of any prior incidents of an HP authorized
6	battery overcharging causing a fire?
7	A As far as the question of overcharging,
8	no, I am not sure of discrimination for overcharging
9	but we have had HP batteries and fires before, yes.
LO	Or HP have been in fires before.
L1	Q Do you know how many reports you have?
L2	MR. BETKE: I am going to object and
L3	just ask you, Lee, to limit your answers to
L4	LG Chem because LG Chem is the battery
L5	pack.
L6	MS. MASTRIANO: I agree.
L 7	MR. BETKE: So, if it's Sony or
L8	something else, that's not involved in
L9	this.
20	MS. MASTRIANO: Agreed. Thank you.
21	I should have limited it.
22	Q So, in terms of fire, we are talking
23	about specifically with what your counsel said, LG
24	manufactured.
25	A No. I don't know I don't have I

	Page 150
1	don't know how many reports there have been of
2	batteries in fires, no.
3	Q Do you know if you ever received any
4	reports, not of a fire, but of the battery
5	overheating?
6	A Overheating?
7	Q Again, limited to this, to the subject
8	battery that we are talking about.
9	A No. Related to this battery pack,
10	that's it's Bates stamped hold on 1335, I
11	think. Let me see.
12	MR. BETKE: Yeah, 1335.
13	MS. MASTRIANO: Got it. Thank you.
14	MR. BETKE: And to be honest, I am not
15	even sure if that's an LG Chem pack in that
16	one.
17	THE WITNESS: Right. I don't think we
18	had I don't think
19	MR. SCHWARZ: I don't think we figured
20	that out.
21	THE WITNESS: Yup.
22	Q Lee, to the best of your knowledge, has
23	anyone from HP ever talked to Staples about any
24	issues related to counterfeiting or third-party
25	batteries?

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1	MR. BETKE: I am going to object on the
2	30(b)(6) aspect of this because that wasn't
3	one of the categories.
4	But I will absolutely allow Lee to
5	testify since he is sitting here and you
6	have been kind enough, you know, to sit
7	through this morning.
8	I will certainly let Lee tell you what
9	he knows about this.
LO	MS. MASTRIANO: Okay.
L1	A I have no idea.
L2	Q Do you know who would know?
L3	MR. BETKE: Objection.
L4	Same objection.
L5	A I don't know.
L6	Q Do you know if anyone from HP ever
L7	talked to Staples about any battery overheating?
L8	MR. BETKE: Same objection.
L9	A I don't know.
20	MS. MASTRIANO: Chris, I understand
21	I have two more questions if you just
22	want to say it's going to be a continuing
23	objection?
24	MS. BETKE: Okay.
25	Q You don't know?

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1	A I don't know.
2	Q Do you know if anybody from HP has ever
3	talked with anybody from Staples about the subject
4	type of battery overheating?
5	MR. BETKE: I have a standing
6	objection, did you say?
7	MS. MASTRIANO: (Nodding)
8	MR. BETKE: All right. I'm sorry. I
9	didn't quite
10	A No, I am not aware of any.
11	Q Do you know who would know?
12	Is there a department?
13	And even if there is not a specific
14	name.
15	A No, I don't know.
16	MS. MASTRIANO: I think you'll like me
17	best today because that's all my questions.
18	MR. BETKE: I have no questions.
19	MR. SCHWARZ: I have nothing further.
20	MR. BETKE: Thank you so much.
21	Again, thank you everyone to be kind
22	enough to accommodate the time zone. We
23	very much appreciate it.
24	Mr. Atkinson, you can disable your
25	video and microphone and go to bed.

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1	THE WITNESS: Thank you.
2	VIDEOGRAPHER: We are off the record at
3	11:13 p.m., and this concludes today's
4	deposition given by Lee Atkinson.
5	The total number of media units used
6	was five and will be retained by Veritext.
7	(11:13 a.m.)
8	
9	
10	
11	LEE WARREN ATKINSON
12	
13	
14	Subscribed and sworn to before me
15	this day of, 2023
16	
17	
18	
19	
20	NOTARY PUBLIC
21	
22	
23	
24	
25	

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1	CERTIFICATE
2	
3	I, Eva Kaflinski, a Shorthand Reporter
4	and Notary Public of the State of New York,
5	do hereby certify:
6	
7	That the witness whose examination is
8	hereinbefore set forth, was duly sworn, and
9	that such examination is a true record of
LO	the testimony given by such witness.
L1	
L2	I further certify that I am not related
L3	to any of the parties to this action by
L4	blood or marriage; and that I am in no way
L5	interested in the outcome of this matter.
L6	
L 7	IN WITNESS WHEREOF, I have hereunto set
L8	my hand this 21st day of August 2023.
L9	
20	
21	S. Lahr
22	(Supple)
23	EVA KAFLINSKI
24	
25	

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Federal Rules of Civil Procedure Rule 30

- (e) Review By the Witness; Changes.
- (1) Review; Statement of Changes. On request by the deponent or a party before the deposition is completed, the deponent must be allowed 30 days after being notified by the officer that the transcript or recording is available in which:
- (A) to review the transcript or recording; and
- (B) if there are changes in form or substance, to sign a statement listing the changes and the reasons for making them.
- (2) Changes Indicated in the Officer's Certificate. The officer must note in the certificate prescribed by Rule 30(f)(1) whether a review was requested and, if so, must attach any changes the deponent makes during the 30-day period.

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ARE PROVIDED FOR INFORMATIONAL PURPOSES ONLY.

THE ABOVE RULES ARE CURRENT AS OF APRIL 1,

2019. PLEASE REFER TO THE APPLICABLE FEDERAL RULES

OF CIVIL PROCEDURE FOR UP-TO-DATE INFORMATION.

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